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# PSO 13

Compression, Pattern Matching

# Why compression

dec	hex	oct	char	dec	hex	oct	char	dec	hex	oct	char	dec	hex	oct	char
0	0	000	NULL	32	20	040	space	64	40	100	@	96	60	140	`
1	1	001	SOH	33	21	041	!	65	41	101	A	97	61	141	a
2	2	002	STX	34	22	042	"	66	42	102	B	98	62	142	b
3	3	003	ETX	35	23	043	#	67	43	103	C	99	63	143	c
4	4	004	EOT	36	24	044	\$	68	44	104	D	100	64	144	d
5	5	005	ENQ	37	25	045	%	69	45	105	E	101	65	145	e
6	6	006	ACK	38	26	046	&	70	46	106	F	102	66	146	f
7	7	007	BEL	39	27	047	'	71	47	107	G	103	67	147	g
8	8	010	BS	40	28	050	(	72	48	110	H	104	68	150	h
9	9	011	TAB	41	29	051	)	73	49	111	I	105	69	151	i
10	a	012	LF	42	2a	052	*	74	4a	112	J	106	6a	152	j
11	b	013	VT	43	2b	053	+	75	4b	113	K	107	6b	153	k
12	c	014	FF	44	2c	054	,	76	4c	114	L	108	6c	154	l
13	d	015	CR	45	2d	055	-	77	4d	115	M	109	6d	155	m
14	e	016	SO	46	2e	056	.	78	4e	116	N	110	6e	156	n
15	f	017	SI	47	2f	057	/	79	4f	117	O	111	6f	157	o
16	10	020	DLE	48	30	060	0	80	50	120	P	112	70	160	p
17	11	021	DC1	49	31	061	1	81	51	121	Q	113	71	161	q
18	12	022	DC2	50	32	062	2	82	52	122	R	114	72	162	r
19	13	023	DC3	51	33	063	3	83	53	123	S	115	73	163	s
20	14	024	DC4	52	34	064	4	84	54	124	T	116	74	164	t
21	15	025	NAK	53	35	065	5	85	55	125	U	117	75	165	u
22	16	026	SYN	54	36	066	6	86	56	126	V	118	76	166	v
23	17	027	ETB	55	37	067	7	87	57	127	W	119	77	167	w
24	18	030	CAN	56	38	070	8	88	58	130	X	120	78	170	x
25	19	031	EM	57	39	071	9	89	59	131	Y	121	79	171	y
26	1a	032	SUB	58	3a	072	:	90	5a	132	Z	122	7a	172	z
27	1b	033	ESC	59	3b	073	;	91	5b	133	[	123	7b	173	{
28	1c	034	FS	60	3c	074	<	92	5c	134	\	124	7c	174	
29	1d	035	GS	61	3d	075	=	93	5d	135	]	125	7d	175	}
30	1e	036	RS	62	3e	076	>	94	5e	136	^	126	7e	176	~
31	1f	037	US	63	3f	077	?	95	5f	137	_	127	7f	177	DEL

### Question 1

#### (Huffman codes)

Recall that Huffman coding encodes high-frequency character with short codewords such that no codeword is a prefix for some other codeword.

(1) What is an Huffman codes for the following set of frequencies, based on the first 8 Fibonacci numbers?

$$a:1 \quad b:1 \quad c:2 \quad d:3 \quad e:5 \quad f:8 \quad g:13 \quad h:21$$

Can you generalize your answer to find the Huffman codes when the frequencies are the first  $n$  Fibonacci numbers?

(2) A code is called **optimal** if it can be represented by a full binary tree, in which all of the nodes have either 0 or 2 children. Is the optimal code unique?

## Question 2

### (Trie & lexicographic sort)

Given two bit strings  $a = a_0a_1 \dots a_p$  and  $b = b_0b_1 \dots b_q$ , we assume WLOG that  $p \leq q$ . Recall that  $a$  is said to be **lexicographically less** than  $b$  if one of the following happens:

- there exists an integer  $j \leq p$  such that  $a_i = b_i$  for all  $0 \leq i < j$  and  $a_j < b_j$ .
- $p < q$  and  $a_i = b_i$  for all  $0 \leq i \leq p$ .

Given a set  $S$  of distinct bit strings whose lengths sum to  $n$ , show how to use a radix tree (a.k.a. trie for bit strings) to sort  $S$  lexicographically in  $O(n)$  time. For example, if  $S = \{1011, 10, 011, 100, 0\}$ , then the output should be the sequence 0, 011, 10, 100, 1011.

### Question 3

#### (Backward pattern matching)

The Boyer-Moore algorithm is based upon backward pattern matching. Let us do a simple review via the following questions:

1. Run Boyer-Moore algorithm in the following worst-case scenario:

$$T := \underbrace{aaa \cdots a}_9 \quad \text{and} \quad P := baaaaa.$$

2. Is there any other pattern matching algorithm that works better in this scenario?

## Question 4

### (Forward pattern matching)

Another efficient pattern matching algorithm, named the Knuth-Morris-Pratt (KMP) algorithm, is based upon forward pattern matching, in which a failure function (also named as “suffix function”) is calculated to determine the most distance we can shift the pattern to avoid redundant comparisons. Specifically, for a pattern  $P$ , its corresponding failure function  $F_P(j)$ , or  $F(j)$  for short, is defined as

$$F(j) := \max_k \{k \leq j - 1 : P[0 : k] = P[j - k : j]\}.$$

In other words,  $F(j)$  represents the size of the largest prefix of  $P[0 : j]$  that is also a suffix of  $P[1 : j]$ .

In brief, the KMP algorithm can be described as: When a mismatch occurs at  $T[i]$ , if you are

- currently at  $P[j]$  with some  $j > 0$ , then shift  $P$  to align  $P[F(j - 1)]$  with  $T[i]$ .
- currently at  $P[0]$ , then shift  $P[0]$  to align with  $T[i + 1]$ .

Answer the following questions:

- (1) Apply the KMP algorithm to the pattern matching problem in Question 1. Does it perform much better than Boyer-Moore?
- (2) What is the failure function for the pattern  $P := \text{“mamagama”}$ ?
- (3) Let  $T := \text{“rahrahahahromamagagaoohlala”}$ , run the KMP pattern matching algorithm for the pattern  $P$  in (2).

## Question 1

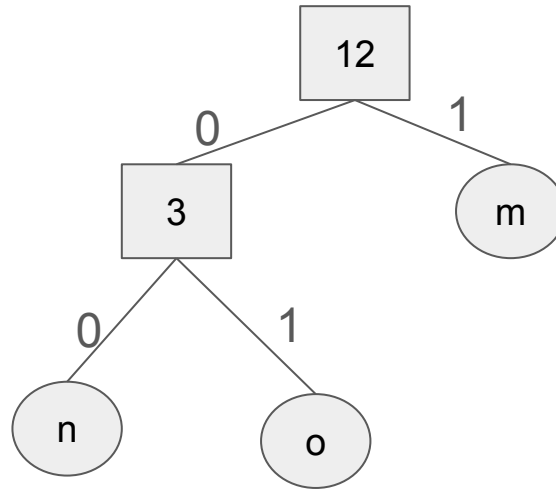
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Huffman Idea: Compress the most frequent letters to be shortest, an example..



Inner-nodes: freqs  
Leaves: letters

What is the most freq. letter?    What's the encoding of 'o'?    'n'?    'm'?

## Question 1

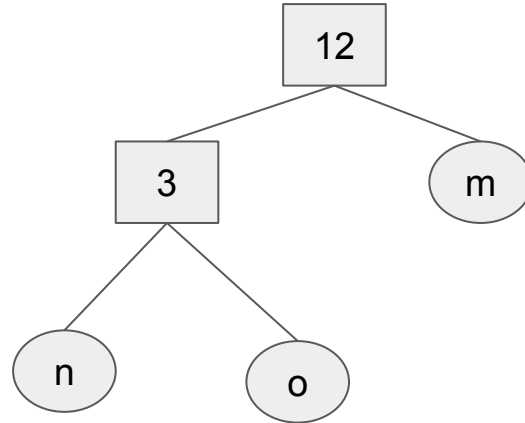
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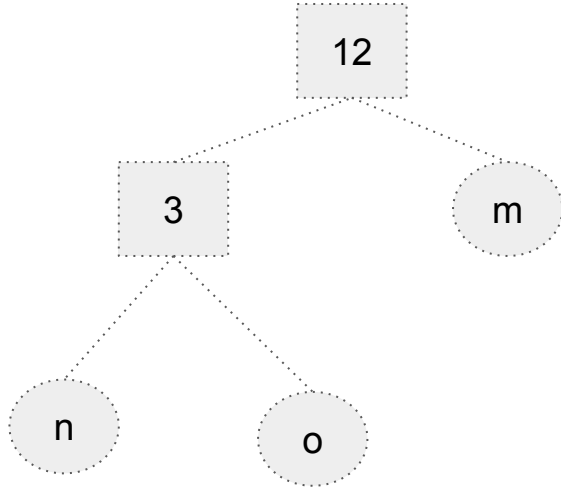


Steps:

1. Add all letters to minHeap by their frequencies
2. Pop off min, add to the tree *Bottom-up*
3. Put the current tree into minHeap with freq = tree size, repeat 2-3



# Quick example: start off with freqs

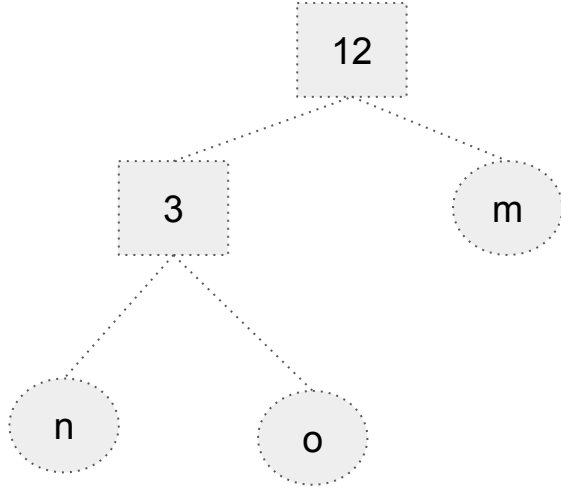


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m	n	o
9	1	2

# Quick example

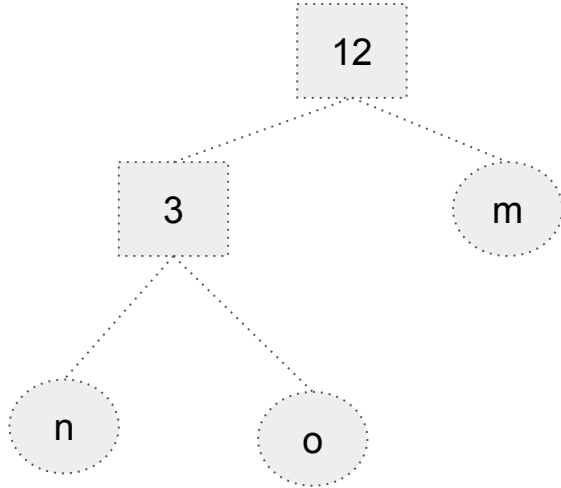


Steps:

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9	1	2

# Quick example



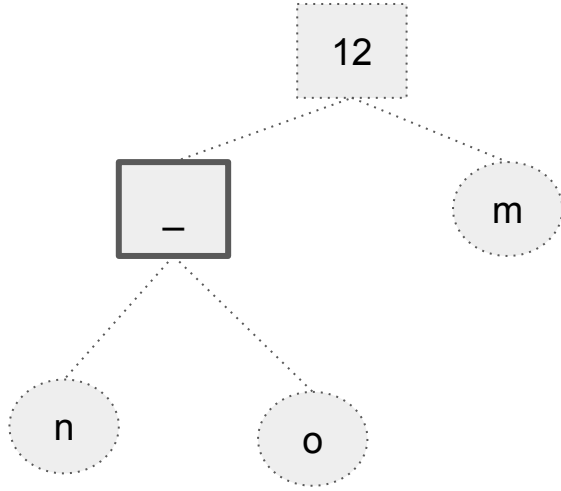
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m	n	o
9	1	2

Q  
(1,n)  
(2,n)  
(9,m)

## Quick example: Step 2



Steps:

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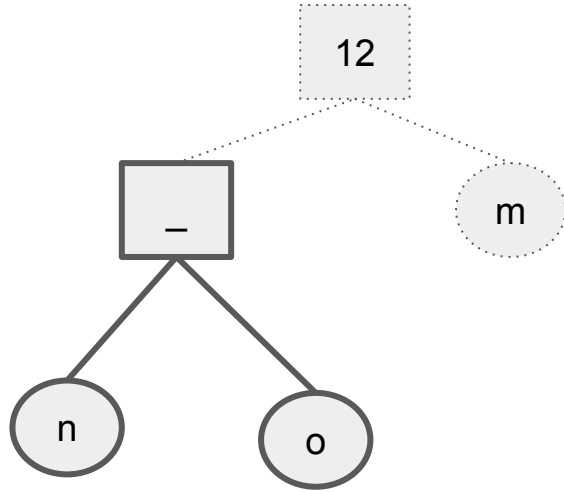
m	n	o
9	1	2

Step 2 in-depth:

2a. Initialize node curr

Q  
(1,n)  
(2,n)  
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## Quick example: Step 2



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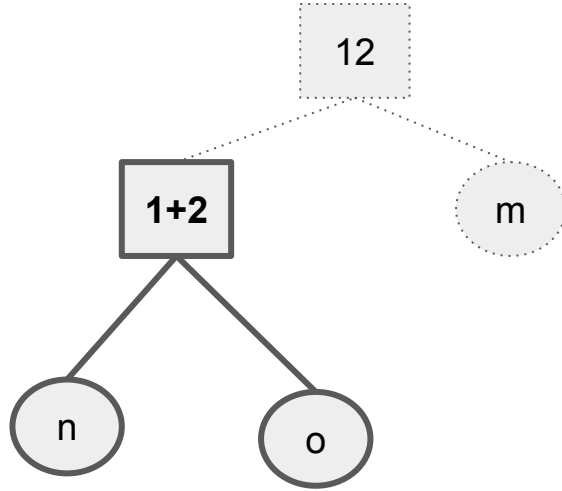
m	n	o
9	1	2

Step 2 in-depth:

- 2a. Initialize node curr
- 2b. Set children to be next two minHeap elts

Q  
~~(1,n)~~  
~~(2,n)~~  
(9,m)

## Quick example: Step 2



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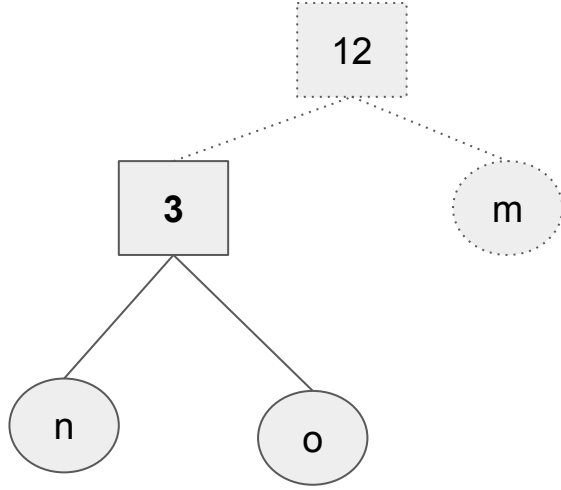
m	n	o
9	1	2

Step 2 in-depth:

- 2a. Initialize node curr
- 2b. Set children to be next two minHeap elts
- 2c. curr.freq = Add up freq of children

Q  
~~(1,n)~~  
~~(2,n)~~  
(9,m)

## Quick example: Step 2



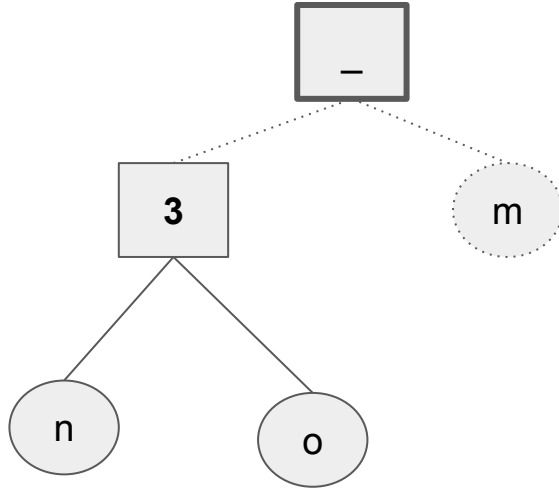
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3. **Put the current tree into minHeap with freq = tree size, repeat**

m	n	o
9	1	2

Q  
(3,no)  
(9,m)

## Quick example: Step 2



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9	1	2

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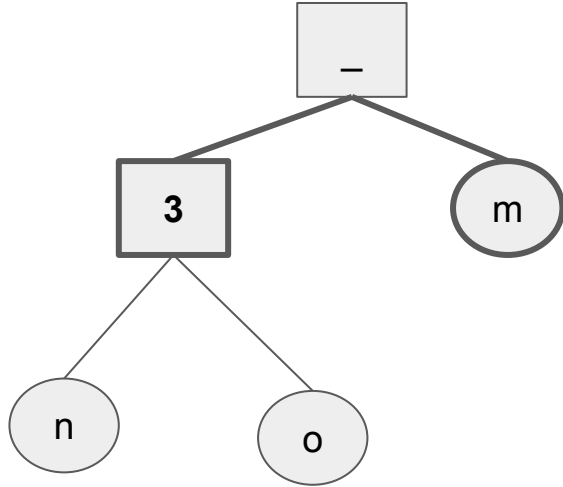
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(9,m)



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9	1	2

Step 2 in-depth:

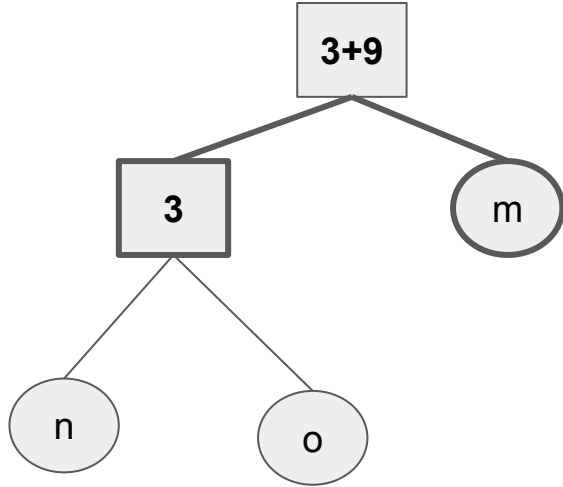
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Q  
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~~(9,m)~~

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### (Huffman codes)

Recall that Huffman coding encodes high-frequency character with short codewords such that no codeword is a prefix for some other codeword.

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Q

(1,a)

(1,b)

(2,c)

(3,d)

(5,e)

(8,f )

(13,g)

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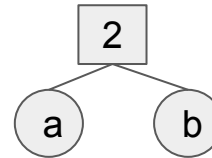
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Q  
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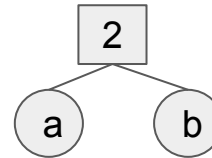
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Q  
(2,ab)  
(2,c)  
(3,d)  
(5,e)  
(8,f )  
(13,g)  
(21,h)

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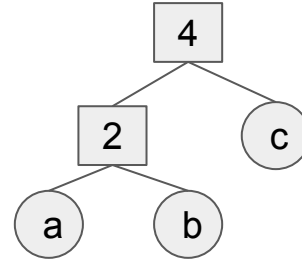
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Q  
~~(2,ab)~~  
~~(2,e)~~  
(3,d)  
(5,e)  
(8,f)  
(13,g)  
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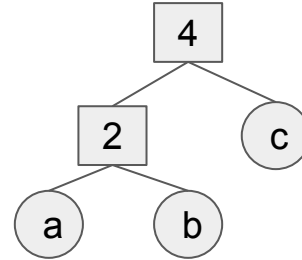
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Q  
(3,d)  
**(4,abc)**  
(5,e)  
(8,f)  
(13,g)  
(21,h)

Steps:

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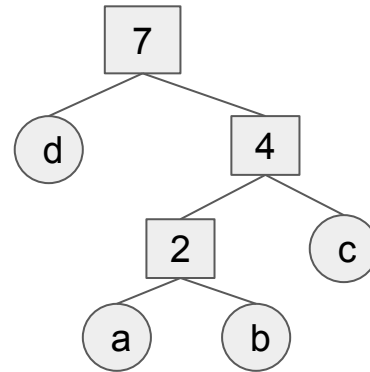
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Q  
~~(3,d)~~  
~~(4,abe)~~  
(5,e)  
(8,f)  
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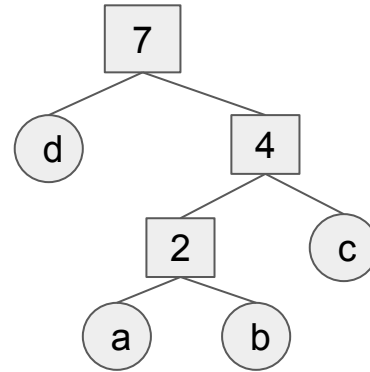
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Q  
(5,e)  
**(7,abcd)**  
(8,f )  
(13,g)  
(21,h)

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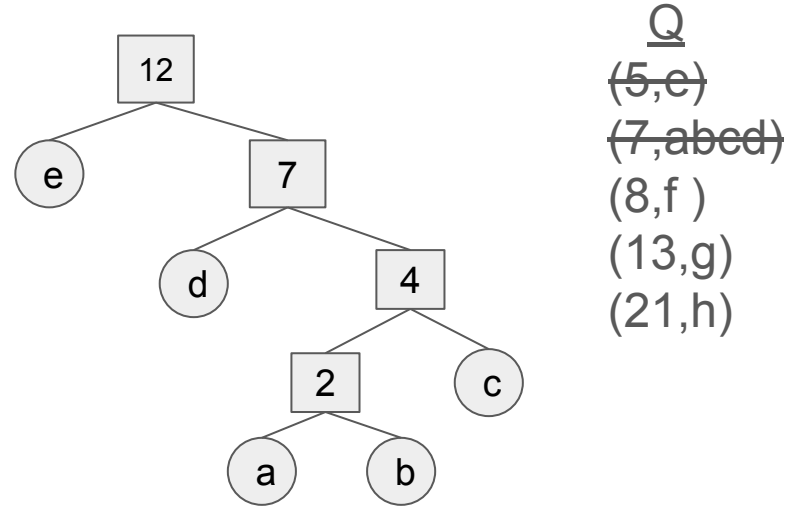
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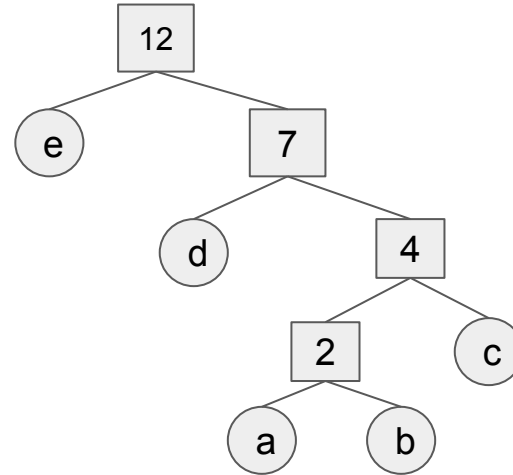
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Q  
(8,f )  
**(12,abcde)**  
(13,g)  
(21,h)

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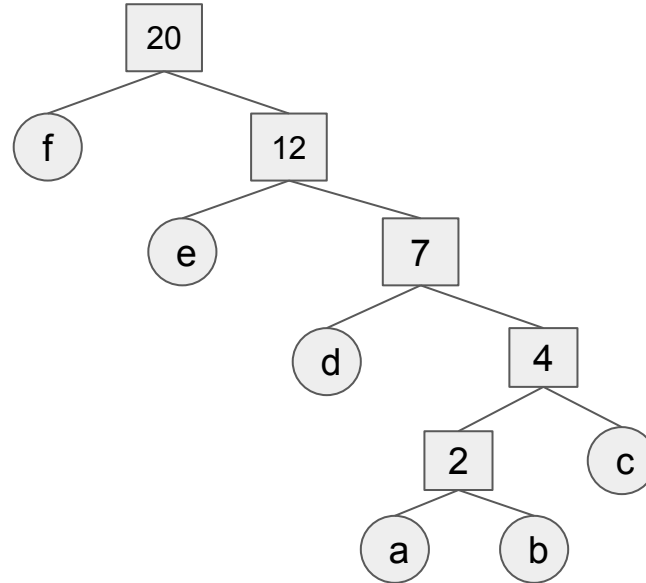
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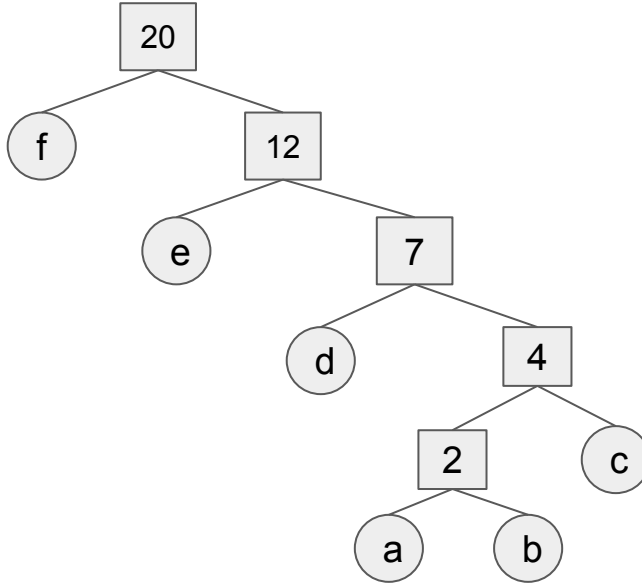
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Q  
(13,g)  
**(20,abcdef)**  
(21,h)

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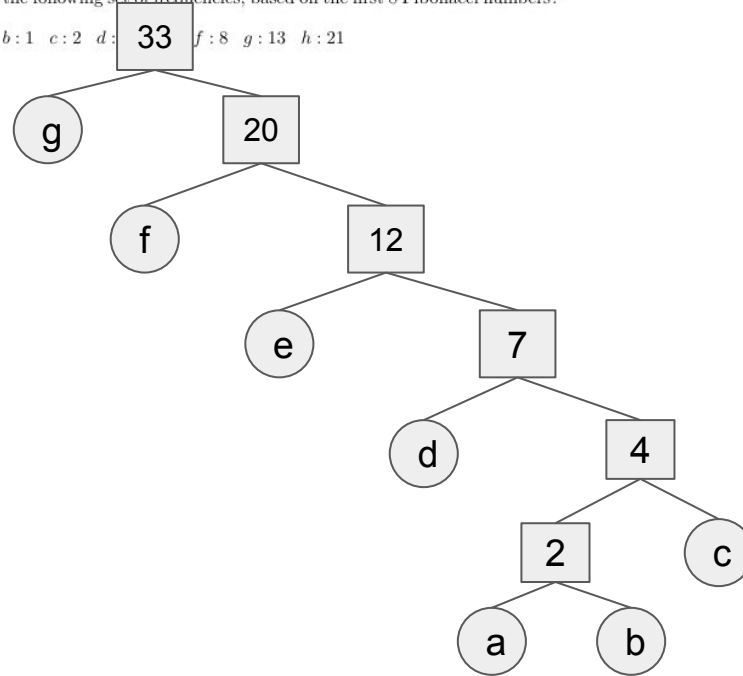
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#### (Huffman codes)

Recall that Huffman coding encodes high-frequency character with short codewords such that no codeword is a prefix for some other codeword.

(1) What is an Huffman codes for the following set of frequencies, based on the first 8 Fibonacci numbers?

a : 1   b : 1   c : 2   d : 33   f : 8   g : 13   h : 21



Q  
~~(13,g)~~  
~~(20,abedef)~~  
(21,h)

Steps:

1. Add all letters to minHeap by their frequencies
2. **Pop off min, add to the tree *Bottom-up***
3. Put the current tree into minHeap with freq = tree size, repeat 2-3

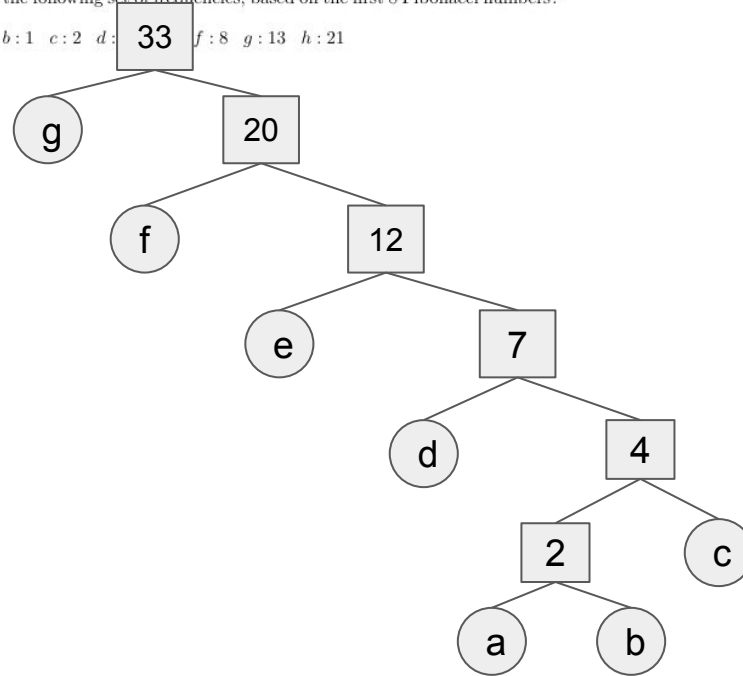
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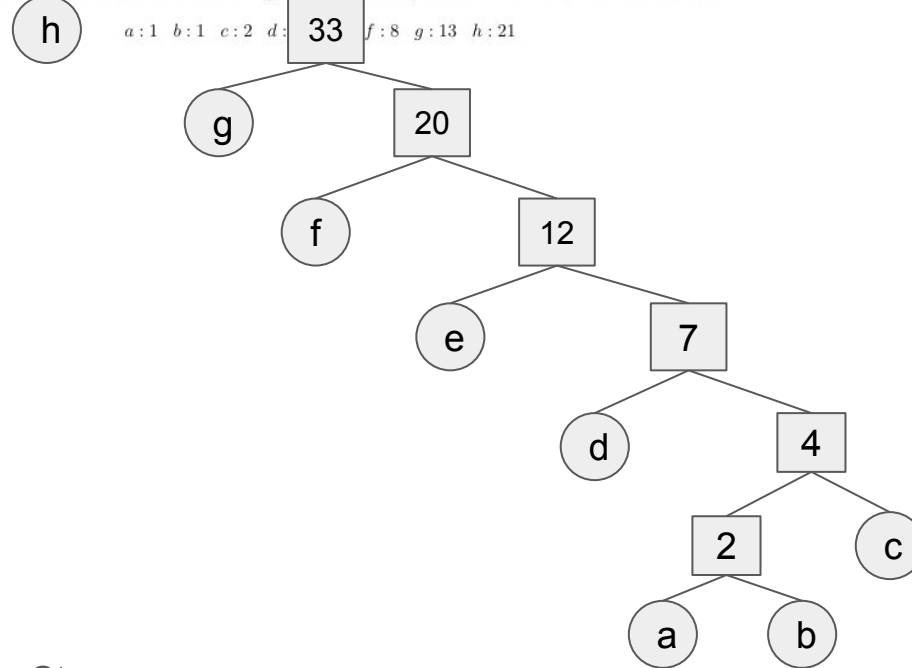
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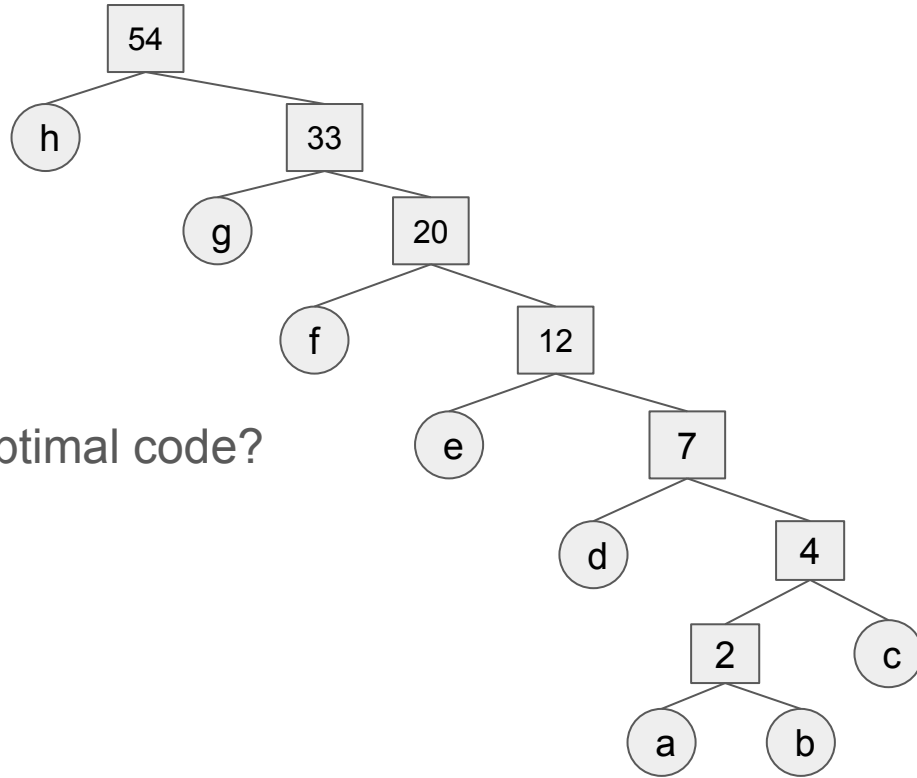
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1. Add all letters to minHeap by their frequencies
2. **Pop off min, add to the tree *Bottom-up***
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(2) A code is called **optimal** if it can be represented by a full binary tree, in which all of the nodes have either 0 or 2 children. Is the optimal code unique?



Can I get another optimal code?

## Question 2

### (Trie & lexicographic sort)

Given two bit strings  $a = a_0a_1 \dots a_p$  and  $b = b_0b_1 \dots b_q$ , we assume WLOG that  $p \leq q$ . Recall that  $a$  is said to be **lexicographically less** than  $b$  if one of the following happens:

- there exists an integer  $j \leq p$  such that  $a_i = b_i$  for all  $0 \leq i < j$  and  $a_j < b_j$ .
- $p < q$  and  $a_i = b_i$  for all  $0 \leq i \leq p$ .

Given a set  $S$  of distinct bit strings whose lengths sum to  $n$ , show how to use a radix tree (a.k.a. trie for bit strings) to sort  $S$  lexicographically in  $O(n)$  time. For example, if  $S = \{1011, 10, 011, 100, 0\}$ , then the output should be the sequence 0, 011, 10, 100, 1011.

## Lexigraphic Ordering Practice:

- 'c' vs 'ab'
- 'abc' vs 'abca'
- 'abbbbb' vs 'baaaaa'

## Question 2

### (Trie & lexicographic sort)

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Form the trie for S

### Question 3

#### (Backward pattern matching)

The Boyer-Moore algorithm is based upon backward pattern matching. Let us do a simple review via the following questions:

1. Run Boyer-Moore algorithm in the following worst-case scenario:

$$T := \underbrace{aaa \cdots a}_9 \quad \text{and} \quad P := baaaaa.$$

**Boyer-Moore**: Iteratively compare pattern P with target, going backward

<b>T</b>	a	a	a	a	a	a	a	a
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<b>T</b>	a	a	a	a	a	a	a	a
<b>P</b>	b	a	a	a	a	a		

T[0] does not equal P[0]! Jump 1 after mistake

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Fast forward..

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Fast forward.. Same mismatch, jump 1

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Same thing will happen 1 more time

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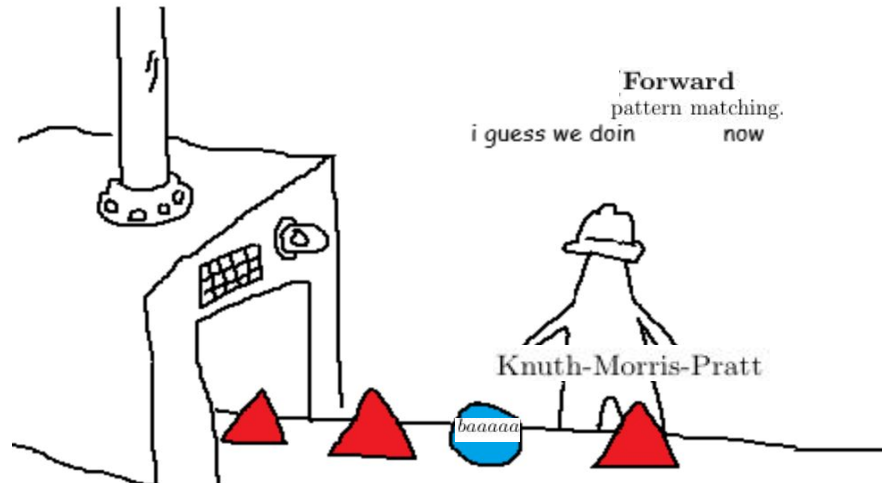
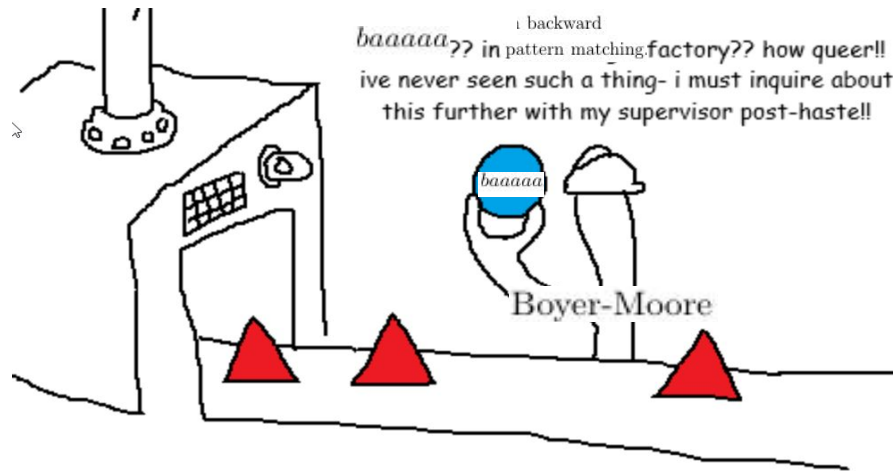
**Boyer-Moore**: Iteratively compare pattern P with target, going backward

<b>T</b>	a	a	a	a	a	a	a	a
<b>P</b>				b	a	a	a	a

Total compares:

Same thing will happen 1 more time, and conclude no match

2. Is there any other pattern matching algorithm that works better in this scenario?





#### Question 4

##### (Forward pattern matching)

Another efficient pattern matching algorithm, named the Knuth-Morris-Pratt (KMP) algorithm, is based upon forward pattern matching, in which a failure function (also named as “suffix function”) is calculated to determine the most distance we can shift the pattern to avoid redundant comparisons. Specifically, for a pattern  $P$ , its corresponding failure function  $F_P(j)$ , or  $F(j)$  for short, is defined as

$$F(j) := \max_k \{k \leq j - 1 : P[0 : k] = P[j - k : j]\}.$$

In other words,  $F(j)$  represents the size of the largest prefix of  $P[0 : j]$  that is also a suffix of  $P[1 : j]$ .

In brief, the KMP algorithm can be described as: When a mismatch occurs at  $T[i]$ , if you are

- currently at  $P[j]$  with some  $j > 0$ , then shift  $P$  to align  $P[F(j - 1)]$  with  $T[i]$ .
- currently at  $P[0]$ , then shift  $P[0]$  to align with  $T[i + 1]$ .

Answer the following questions:

(1) Apply the KMP algorithm to the pattern matching problem in Question 1. Does it perform much better than Boyer-Moore?

T P	a	a	a	a	a	a	a	a
	b	a	a	a	a			

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(2) What is the failure function for the pattern  $P := \text{“mamagama”}$ ?

m a m a g a m a

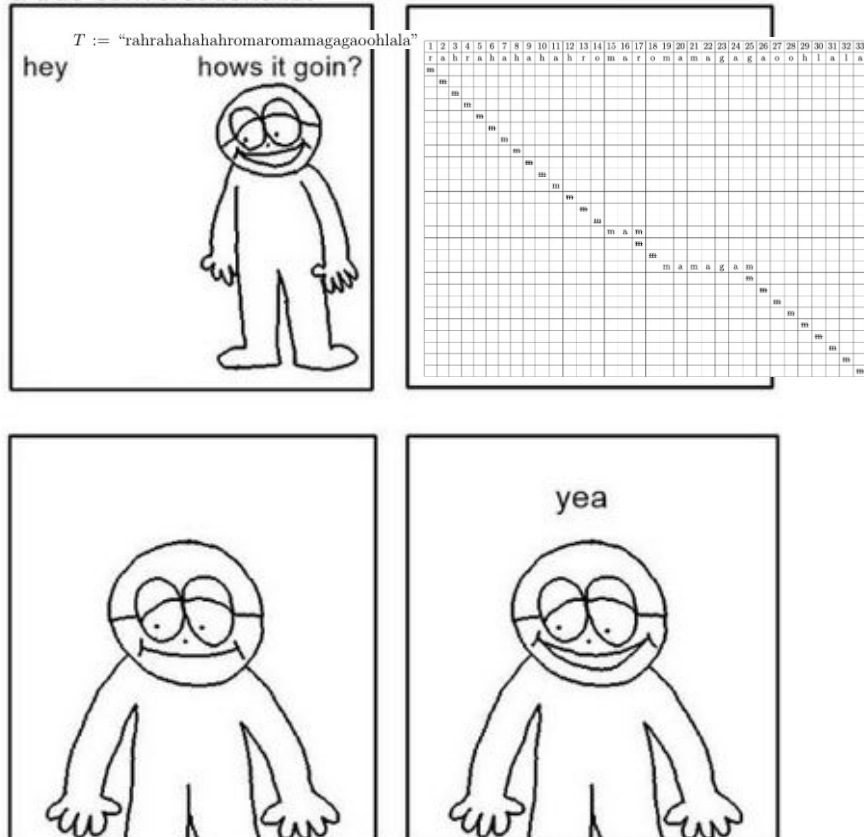
j	1	2	3	4	5	6	7
f(j)							

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- currently at  $P[0]$ , then shift  $P[0]$  to align with  $T[i+1]$ .

(3) Let  $T := \text{"rahhahahahromaromamagagaoohlala"}$ , run the KMP pattern matching algorithm for the pattern  $P$  in (2).

This example is a bit long..



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Let  $T = \text{"rahmamamagama"}$

**j**

**f(j)**

1	2	3	4	5	6	7
0	1	2	0	0	1	2

**T**

r	a	h	m	a	m	a	m	a	m	a	m	a
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0	1	2	0	0	1	2

**f(j)**

**T**

r	<b>a</b>	h	m	a	m	a	m	a	m	a	m	a
	<b>m</b>	a	m	a	g	a	m	a				

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**T**

**P**

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**j**

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**T**

**P**

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Let  $T = \text{"rahmamamagama"}$

**j**

**f(j)**

1	2	3	4	5	6	7
0	1	2	0	0	1	2

**T**

**P**

r	a	h	m	a	m	a	<b>m</b>	a	m	a	m	a
			m	a	m	a	<b>g</b>	a	m	a		



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Let  $T = \text{"rahmamamagama"}$

**j**

1	2	3	4	5	6	7
0	1	2	0	0	1	2

**f(j)**

**T**

r	a	h	m	a	m	a	<b>m</b>	a	m	a	m	a
			m	a	m	a	<b>g</b>	a	m	a		

**P**

Mismatch at  $P[4]$

In brief, the KMP algorithm can be described as: When a mismatch occurs at  $T[i]$ , if you are

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- currently at  $P[0]$ , then shift  $P[0]$  to align with  $T[i + 1]$ .

Let  $T = \text{"rahmamamagama"}$

<b>j</b>	1	2	3	4	5	6	7
<b>f(j)</b>	0	1	2	0	0	1	2

<b>T</b>	r	a	h	m	a	m	a	m	a	m	a	m	a
<b>P</b>				m	a	m	a	g	a	m	a		

Mismatch at  $P[4]$ , align  $P[2]$  with  $T[7]$

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Let  $T = \text{"rahmamamagama"}$

j	1	2	3	4	5	6	7
f(j)	0	1	2	0	0	1	2

T	r	a	h	m	a	m	a	m	a	m	a	m	a
P						m	a	m	a	g	a	m	a
				m	a	m	a	g	a	m	a		

Mismatch at  $P[4]$ , align  $P[2]$  with  $T[7]$  **Why?**

In brief, the KMP algorithm can be described as: When a mismatch occurs at  $T[i]$ , if you are

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<b>P</b>				m	a	m	a	g	a	m	a		

Mismatch at  $P[4]$ , align  $P[2]$  with  $T[7]$  **Why?** **f(3) says these are equal**

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P				m	a	m	a	g	a	m	a		

Mismatch at  $P[4]$ , align  $P[2]$  with  $T[7]$  **Why?**

**Mismatch at  $P[4] \rightarrow$  No mismatch before  $P[4]$**

In brief, the KMP algorithm can be described as: When a mismatch occurs at  $T[i]$ , if you are

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<b>T</b>	r	a	h	m	a	m	a	m	a	m	a	m	a
<b>P</b>						m	a	m	a	g	a	m	a
				m	a	m	a	g	a	m	a		

Mismatch at  $P[4]$ , align  $P[2]$  with  $T[7]$  **Why?**

**No mismatch before  $P[4] \rightarrow$  I can move pattern two spaces**