

# PSO 12

Minimum Spanning Trees, Prim's vs. Kruskal's, Topos == DAG

Slides @ [justin-zhang.com/teaching/CS251](https://justin-zhang.com/teaching/CS251)



## Question 1

### (Minimum spanning trees)

1. An edge is called a **light-edge** crossing a cut  $\mathcal{C} := (S, V - S)$ , if its weight is the minimum of any edge crossing the cut. Show that:

- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.
- the converse is not true, and give a simple counter-example of a connected graph such that there exists a cut  $\mathcal{C} := (S, V - S)$ , in which  $(u, v)$  is a light-edge crossing the cut  $\mathcal{C}$  but does not form a MST of the graph.

2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be a subset of  $V$ . Let  $T'$  be the subgraph of  $T$  induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ . Show that if  $T'$  is connected, then  $T'$  is an MST of  $G'$ .

## Question 2

**(Prim's & Kruskal's algorithm)**

1. Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.
2. Suppose that all edge weights in a graph are integers in the range from 1 to  $|V|$ . How fast can you make Kruskal's algorithm run?

### Question 3

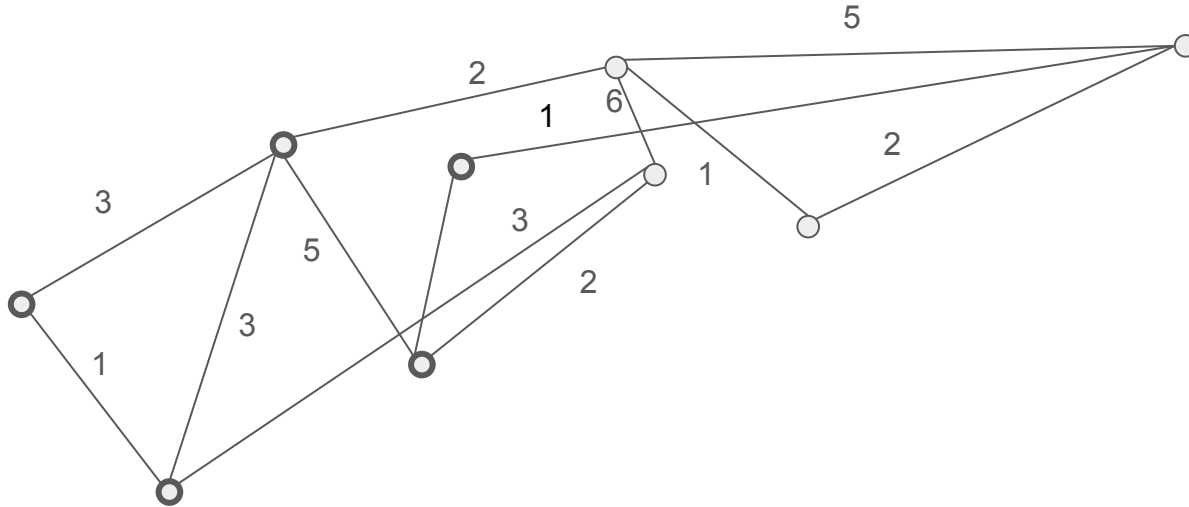
#### (Topological Ordering)

1. Draw a directed acyclic graph  $G = (V, E)$  with  $|V| = 5$  nodes that has exactly two topological orderings.
2. Prove that  $G$  has a topological ordering if and only if  $G$  is a DAG.

## Question 1

(Minimum spanning trees)

1. An edge is called a light-edge crossing a cut  $C := (S, V - S)$ , if its weight is the minimum of any edge crossing the cut. Show that:

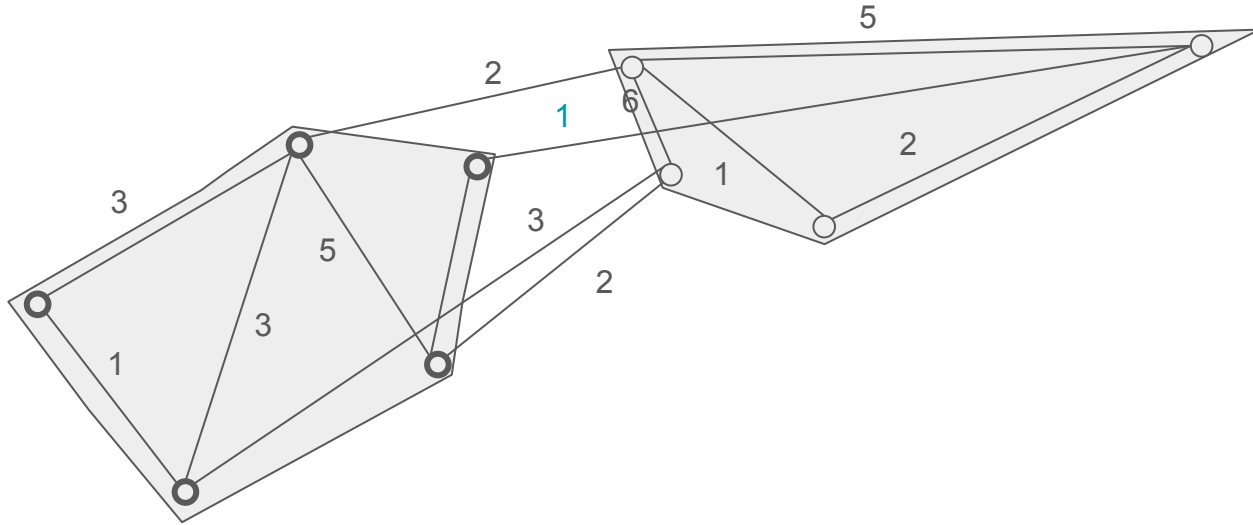


Say I define  $C$  as

## Question 1

(Minimum spanning trees)

1. An edge is called a **light-edge** crossing a cut  $\mathcal{C} := (S, V - S)$ , if its weight is the minimum of any edge crossing the cut. Show that:

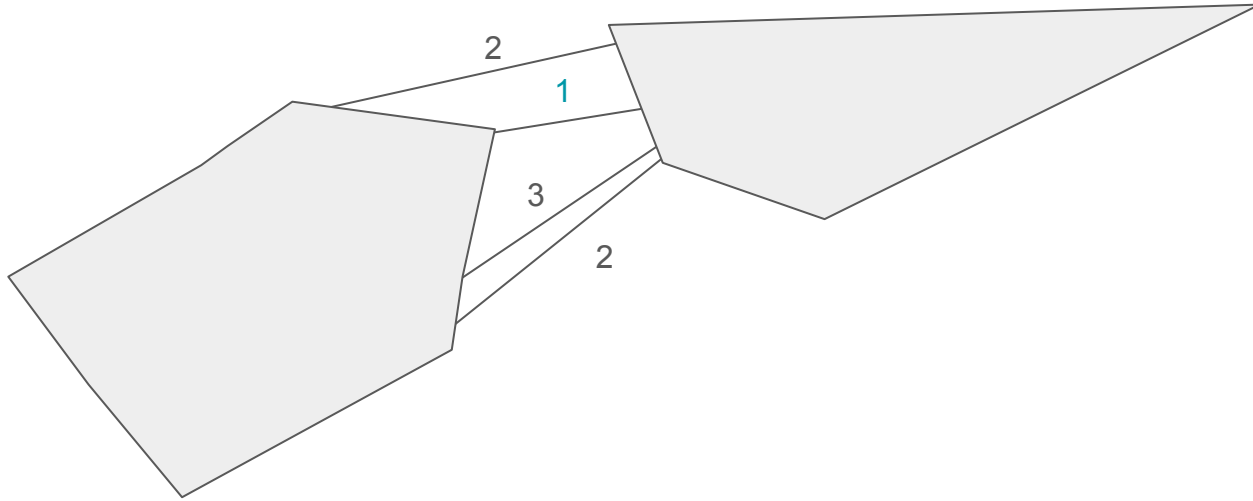


This forms a 'cut'

## Question 1

(Minimum spanning trees)

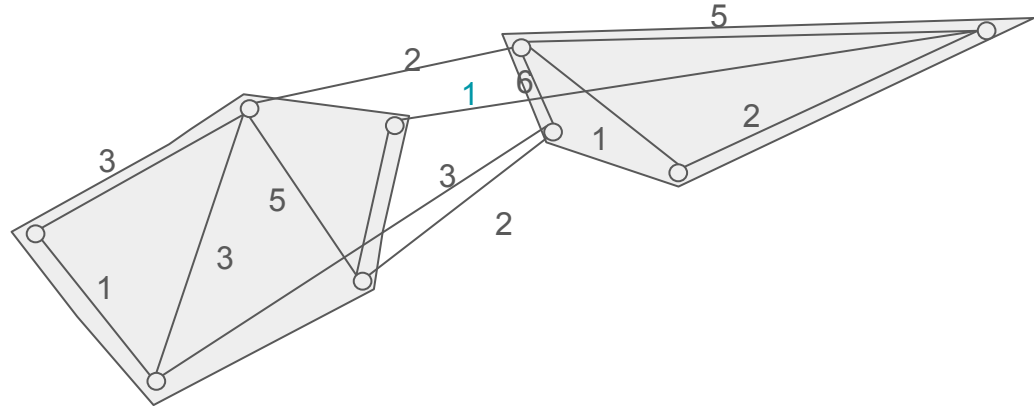
1. An edge is called a **light-edge** crossing a cut  $C := (S, V - S)$ , if its weight is the minimum of any edge crossing the cut. Show that:



The **light edge** of this cut has weight 1

- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.

Pf:



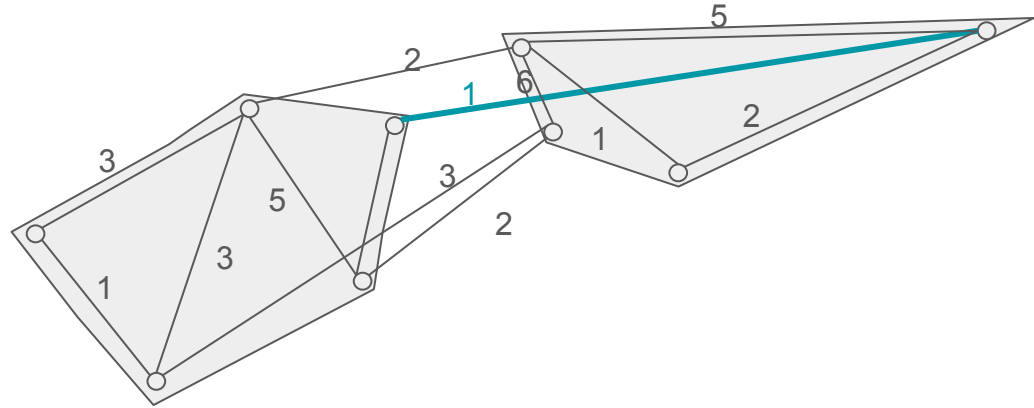


- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.

*not a light edge*

Pf: AFtSoC **e** is ~~not~~ in a MST

[What happens in the picture?]

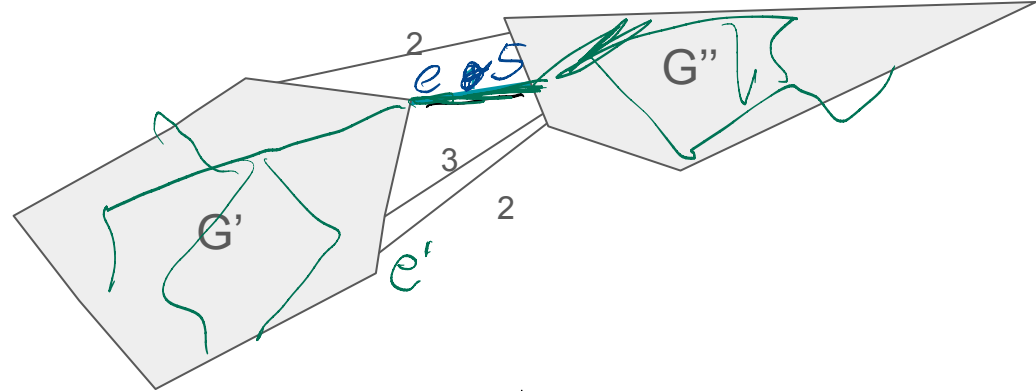


- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.

Suppose  $e$  is in the mst

Pf: AftSoC  $e$  is ~~not in a MST~~ <sup>not a light</sup>

[What happens in the picture?]



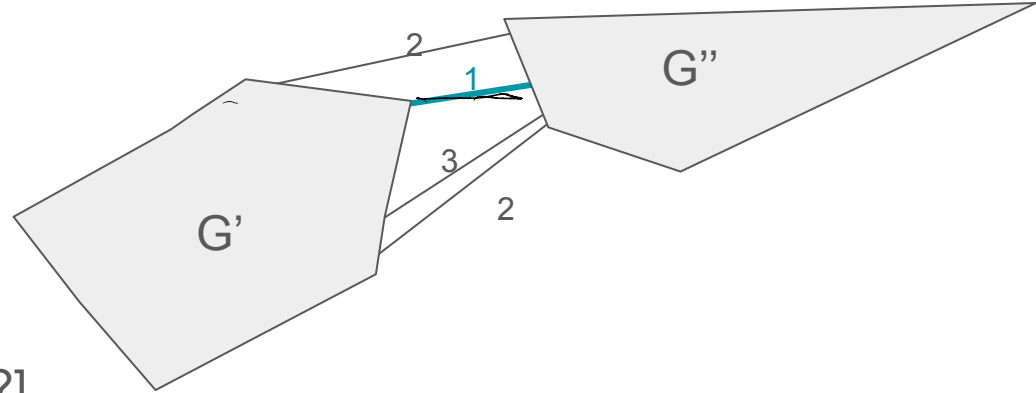
can set a lighter mst by  
instead taking edge  $e'$

- if an edge  $(u, v)$  is contained in some MST, then it is a light-edge crossing some cut of the graph.

Pf: AFtSoC  $e$  is not a light edge  
is not a light edge

In an MST,  $G'$  and  $G''$  must be connected.

[How can we get our contradiction?]



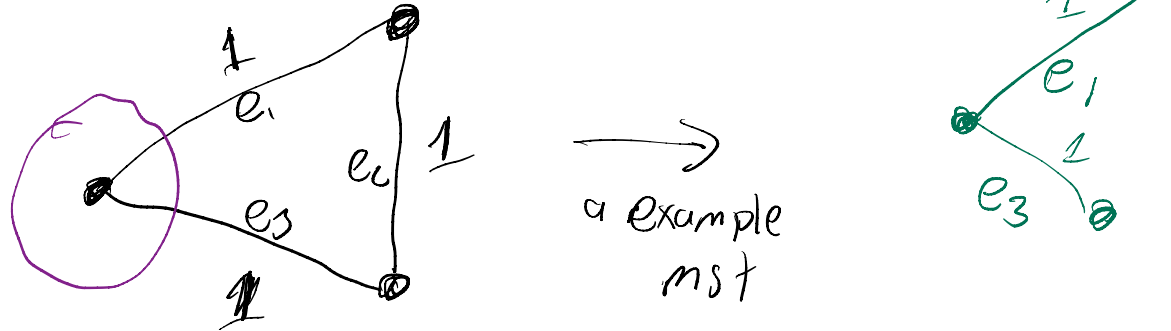
## Question 1

(Minimum spanning trees)

1. An edge is called a light-edge crossing a cut  $C := (S, V - S)$ , if its weight is the minimum of any edge crossing the cut. Show that:

“If  $e$  is the light edge of some cut, then it is in every MST.”

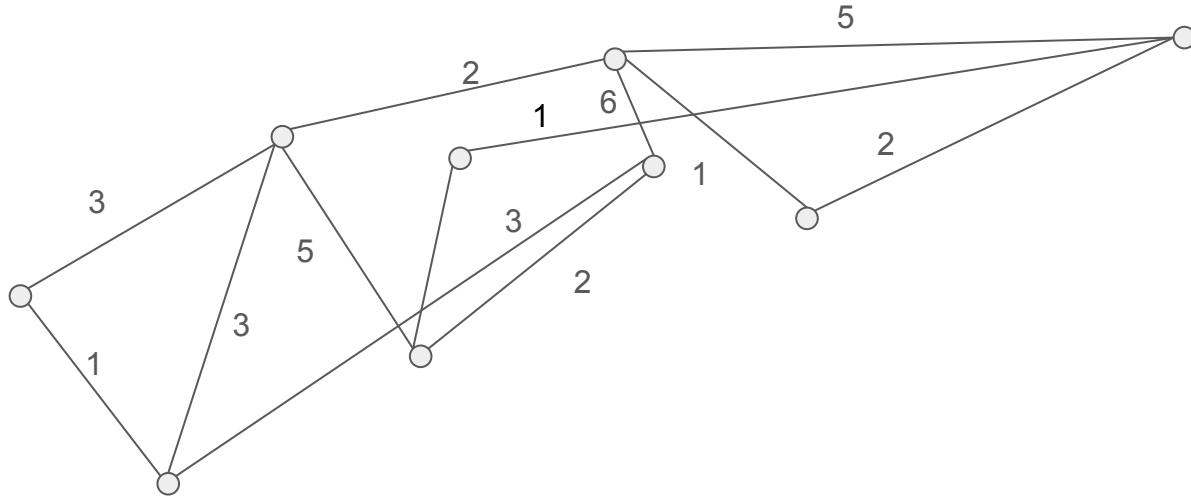
Show that this is false.



2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS:** the graph has a unique MST

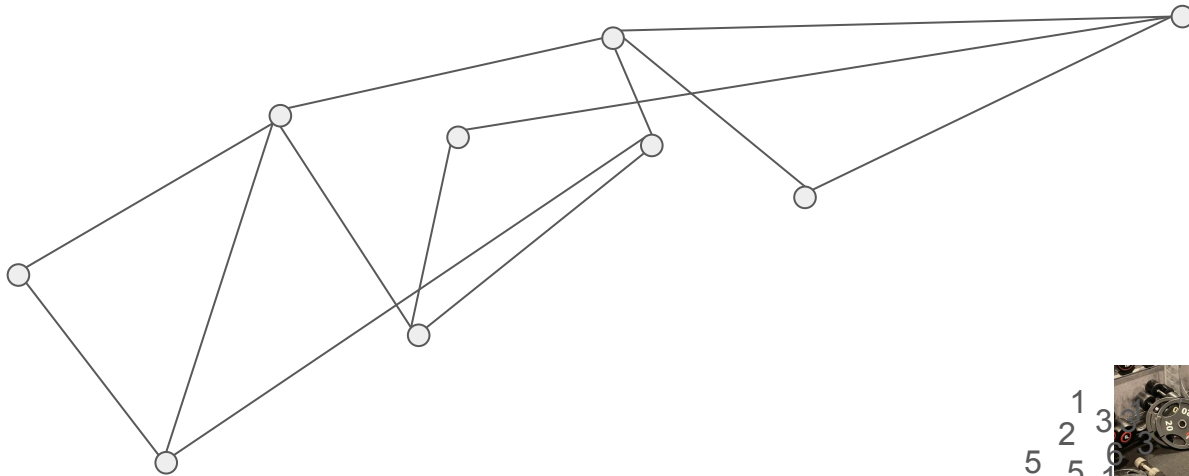
Proof by picture!



2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST

Proof by picture!

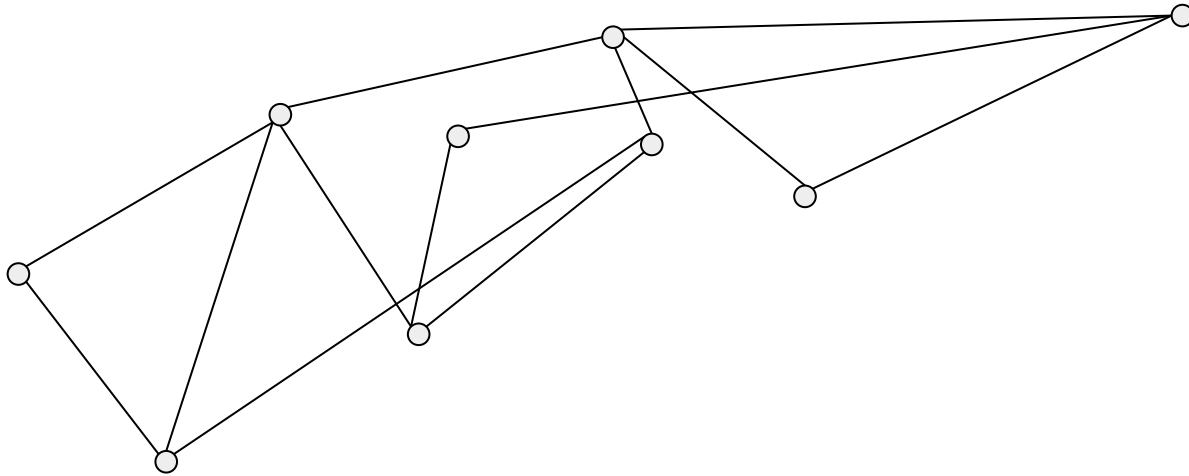


(Me and my bois have taken all the weights off the graph (we need them for our super set))

2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST

Proof by picture!

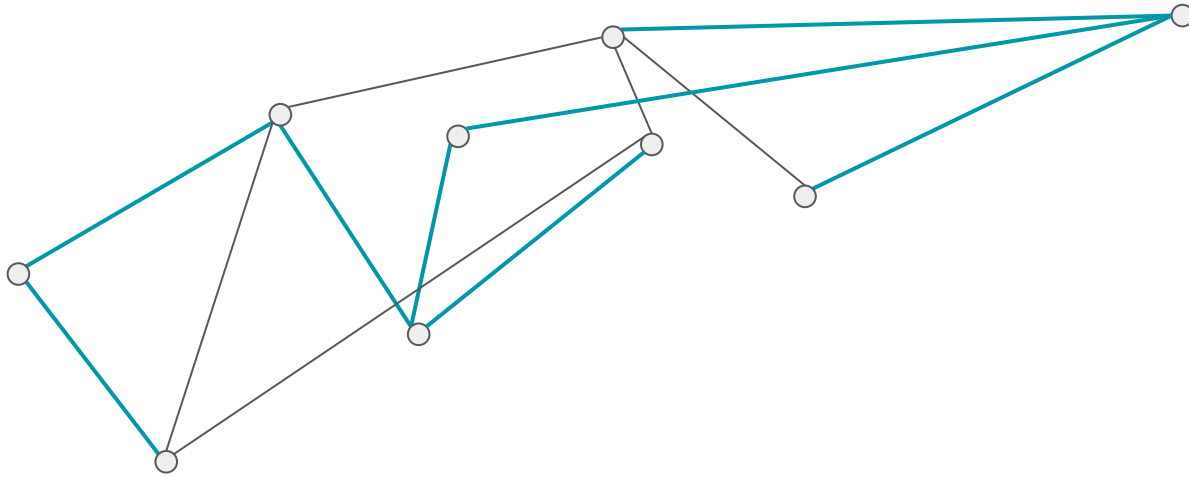


AFtSoC there are two different MSTs  $T_1$  and  $T_2$

2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST

Proof by picture!



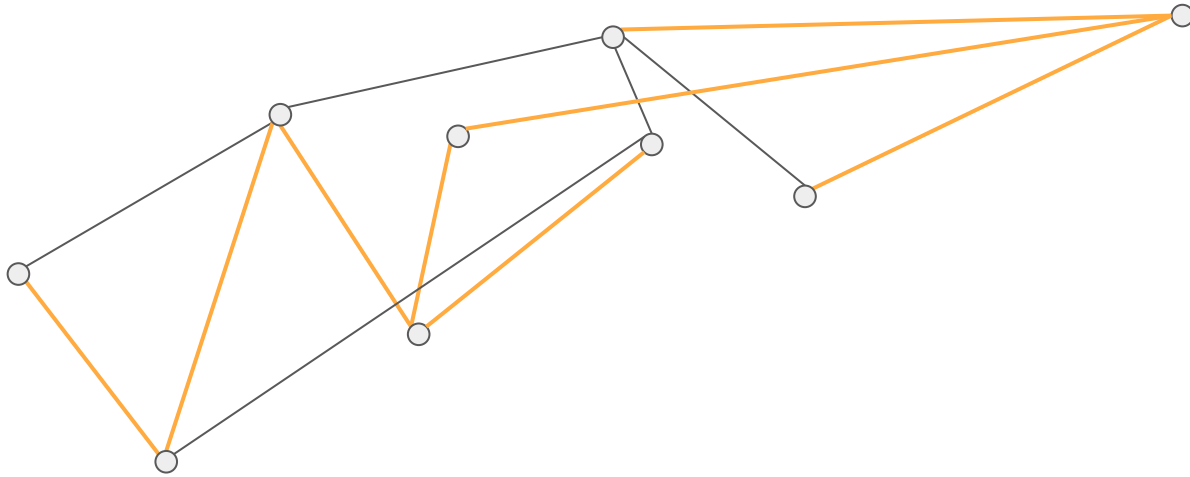
AFtSoC there are two different MSTs  $T_1$  and  $T_2$



2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST

Proof by picture!

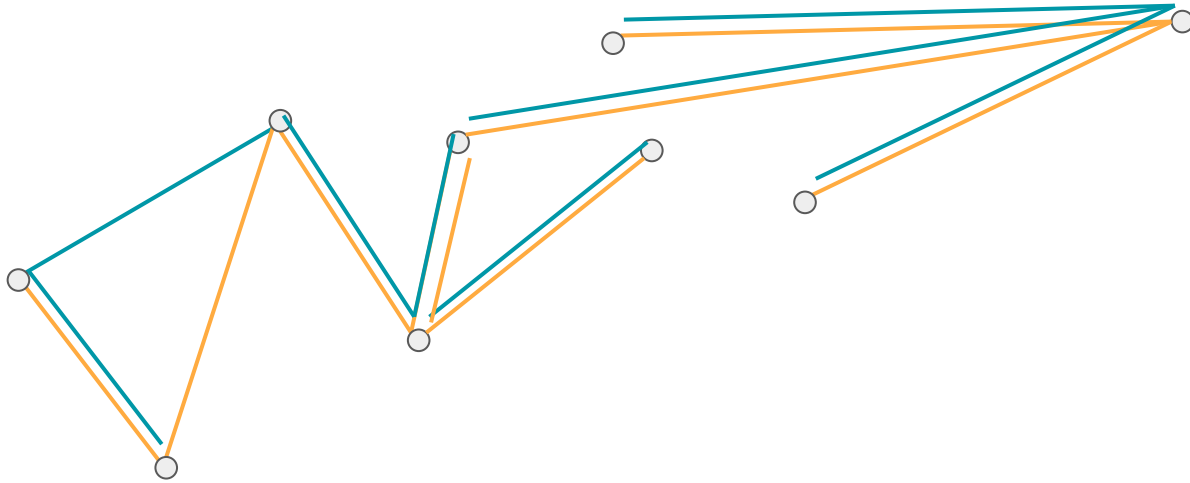


AFtSoC there are two different MSTs  $T_1$  and  $T_2$

2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST

Proof by picture!

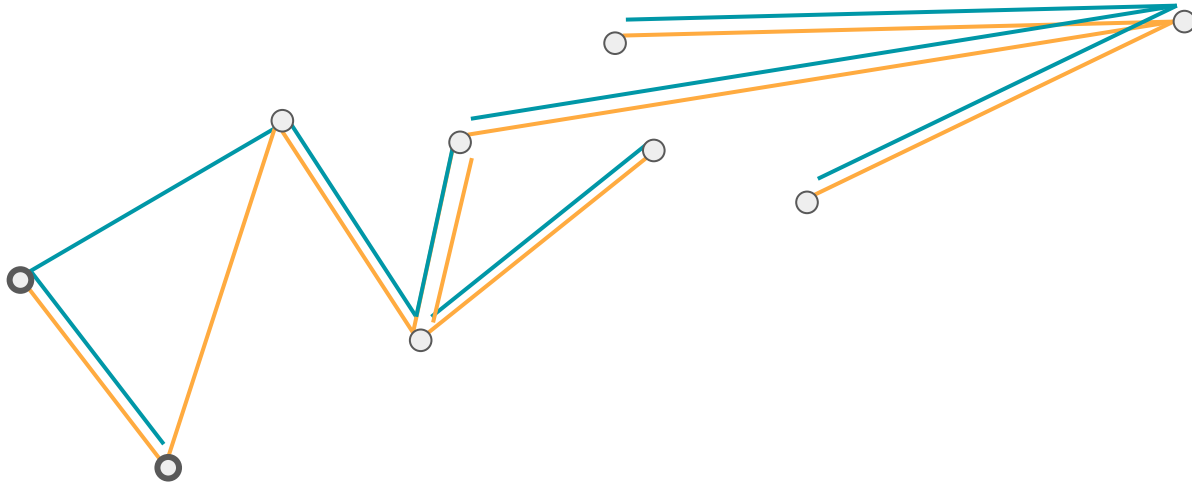


$T_1$  and  $T_2$  differ on some edges  $e_1, e_2$

2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST

Proof by picture!

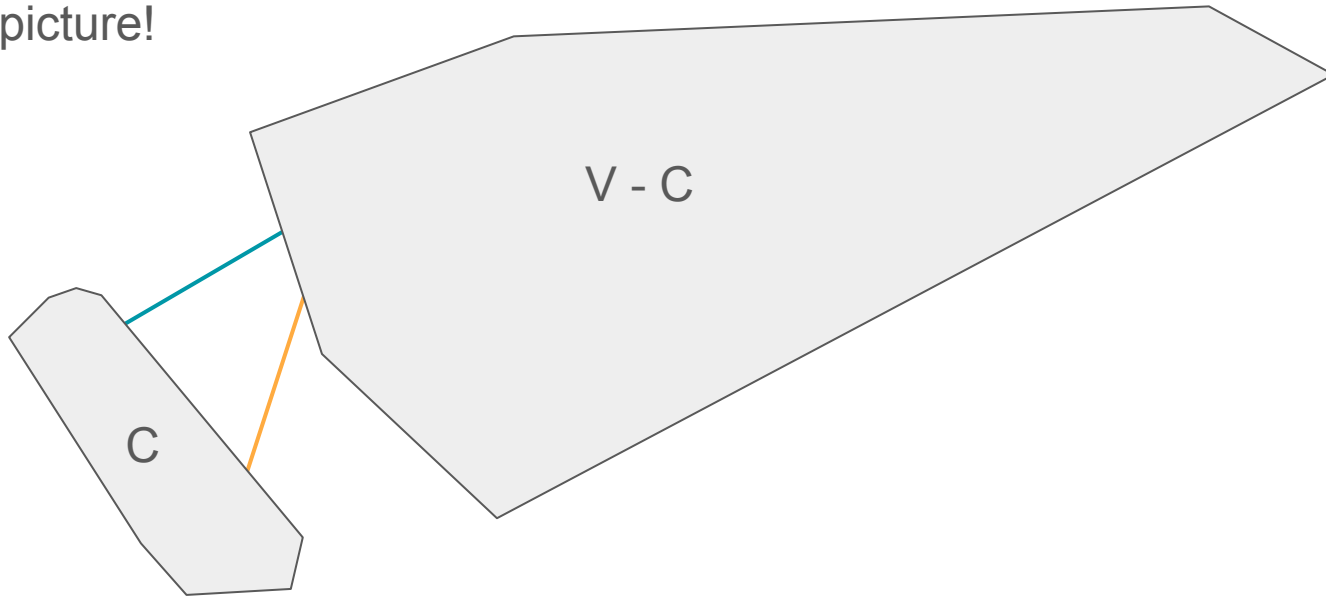


$T_1$  and  $T_2$  differ on some edges  $e_1, e_2$ . Consider cut  $C$  defined above.

2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST

Proof by picture!

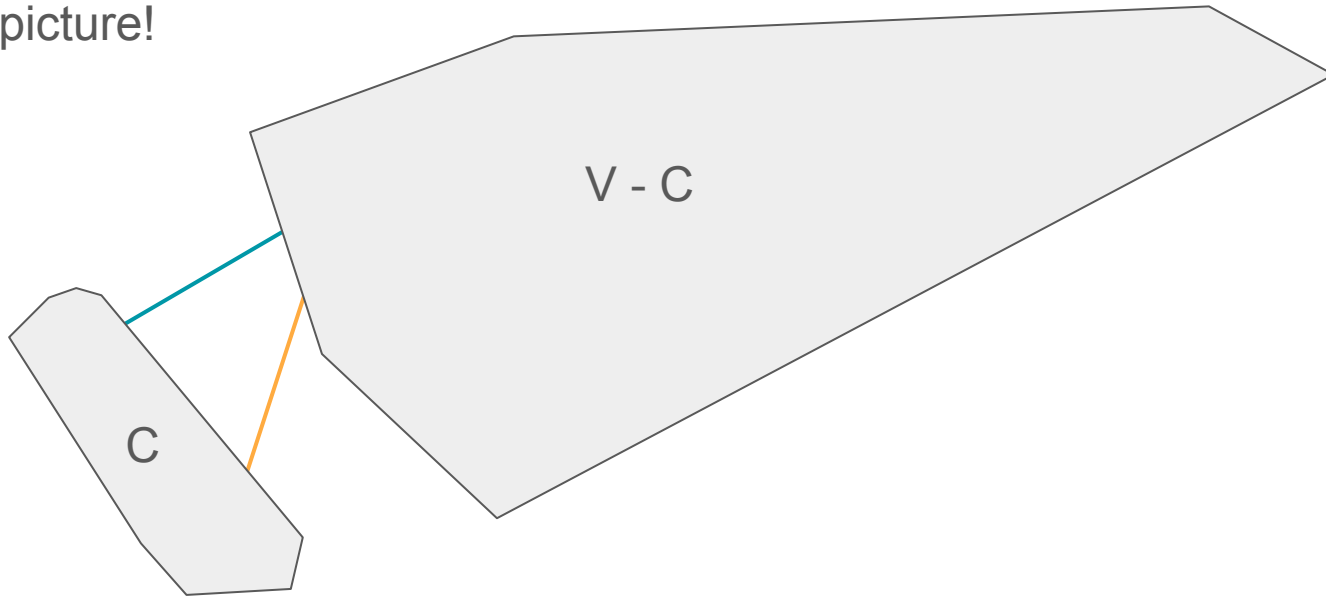


$T_1$  and  $T_2$  differ on some edges  $e_1, e_2$ . Consider cut  $C$  defined above.

2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Suppose each cut has a unique light edge. **WTS:** the graph has a unique MST

Proof by picture!

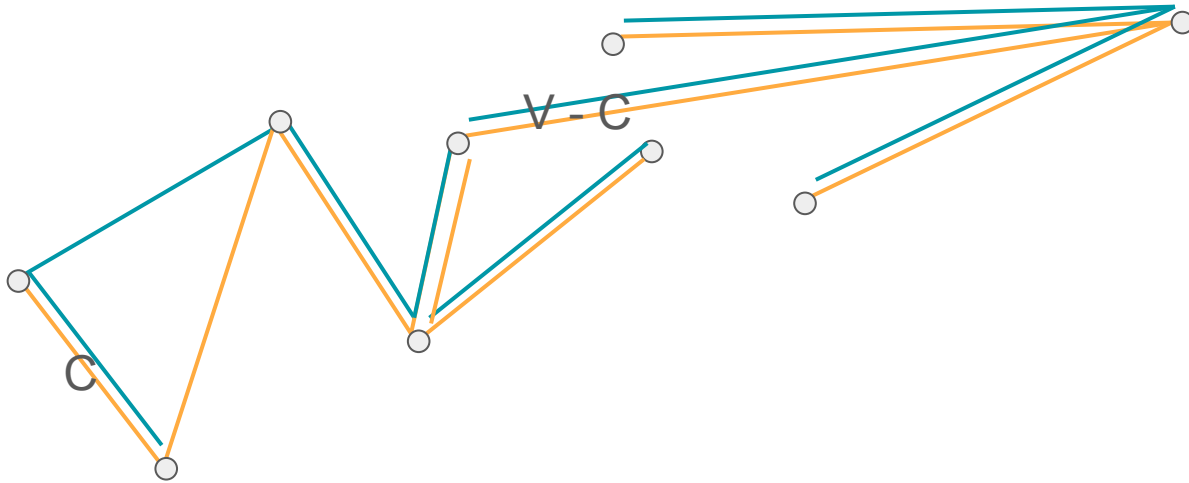


By our assumption, say  $e_1$  is our unique light edge in cut  $C$  i.e.,  $wt(e_1) < wt(e_2)$

2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

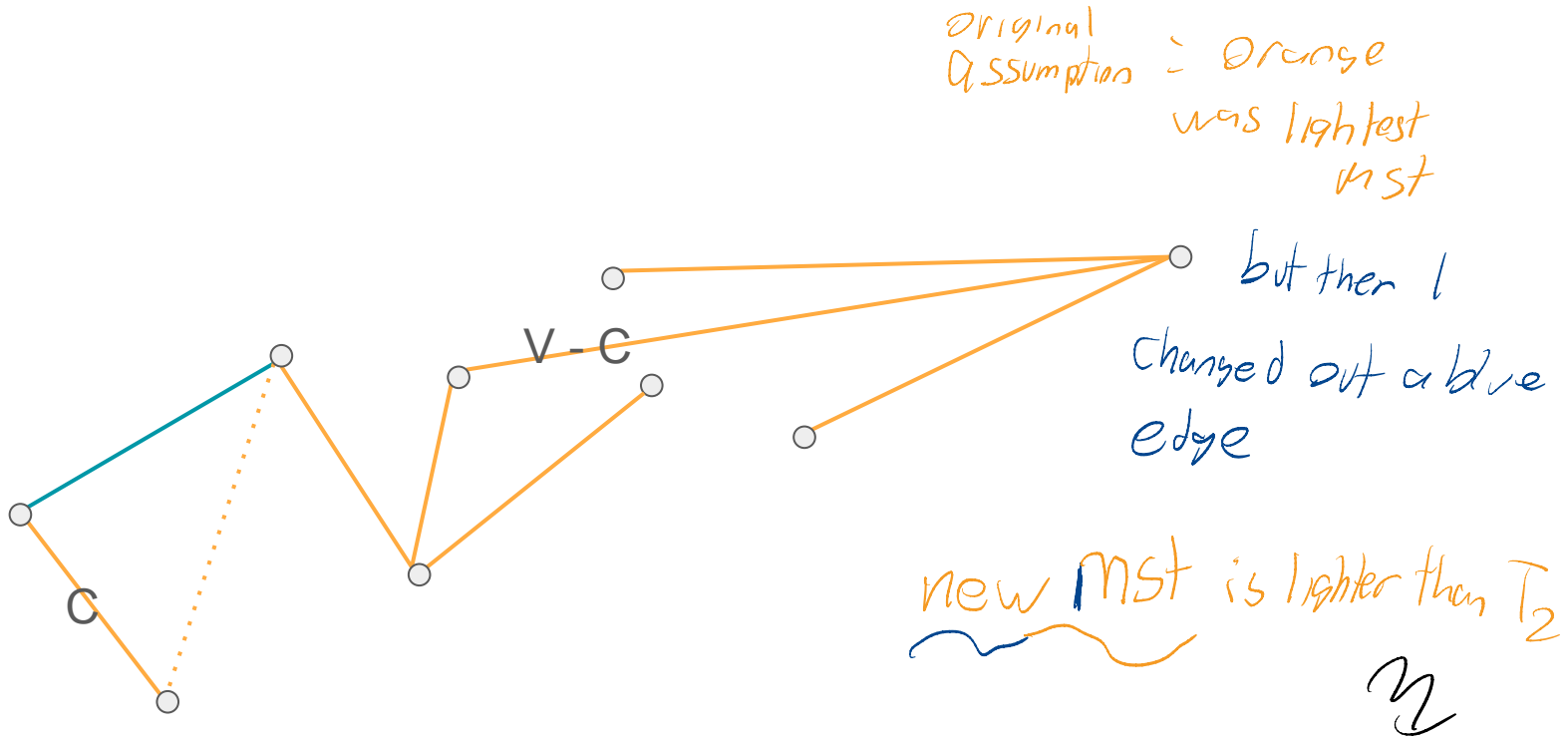
Suppose each cut has a unique light edge. **WTS**: the graph has a unique MST

Proof by picture!



But if  $\text{wt}(e_1) < \text{wt}(e_2)$ , then we can lower the weight of MST  $T_2$  by taking  $e_1$  instead of  $e_2$

2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. ~~Show that the converse is not true by giving a counter-example.~~



But if  $\text{wt}(e_1) < \text{wt}(e_2)$ , then we can lower the weight of MST  $T_2$  by taking  $e_1$  instead of  $e_2$

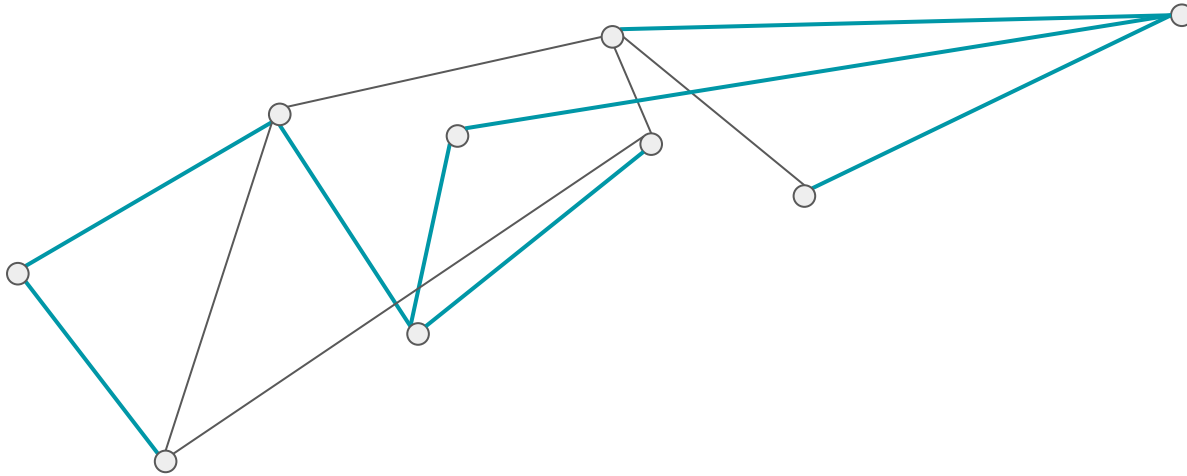
2. Show that a graph has a unique MST, if for every cut of the graph, there is a unique light-edge crossing the cut. Show that the converse is not true by giving a counter-example.

Time for the counter example



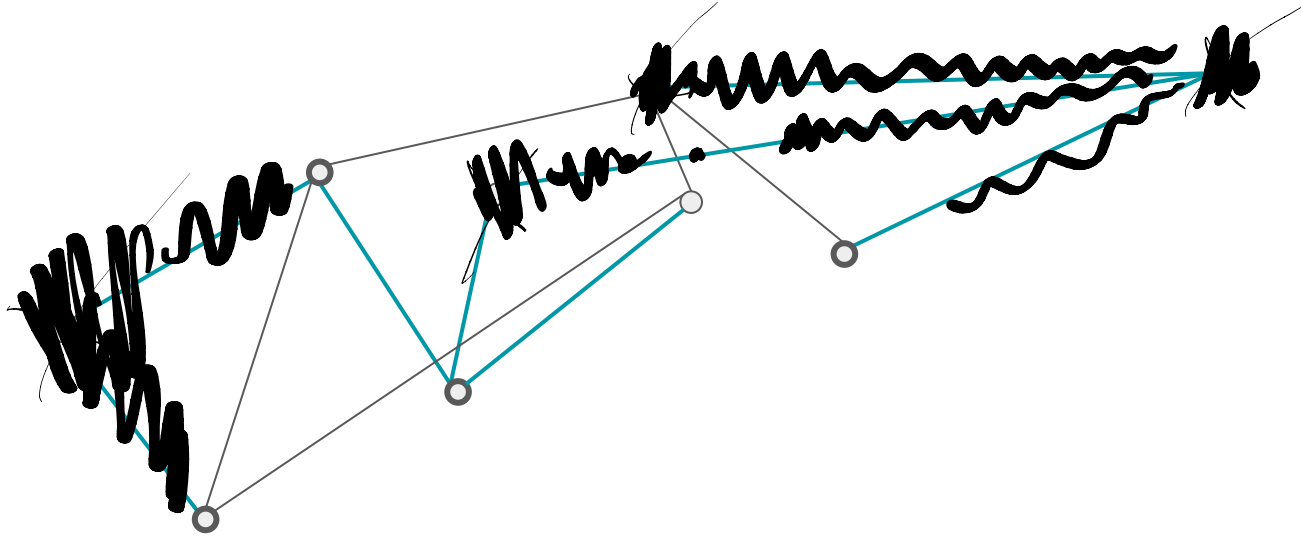
3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be a subset of  $V$ . Let  $T'$  be the subgraph of  $T$  induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ . Show that if  $T'$  is connected, then  $T'$  is an MST of  $G'$ .

Let this be the graph  $G$  and mst  $T$



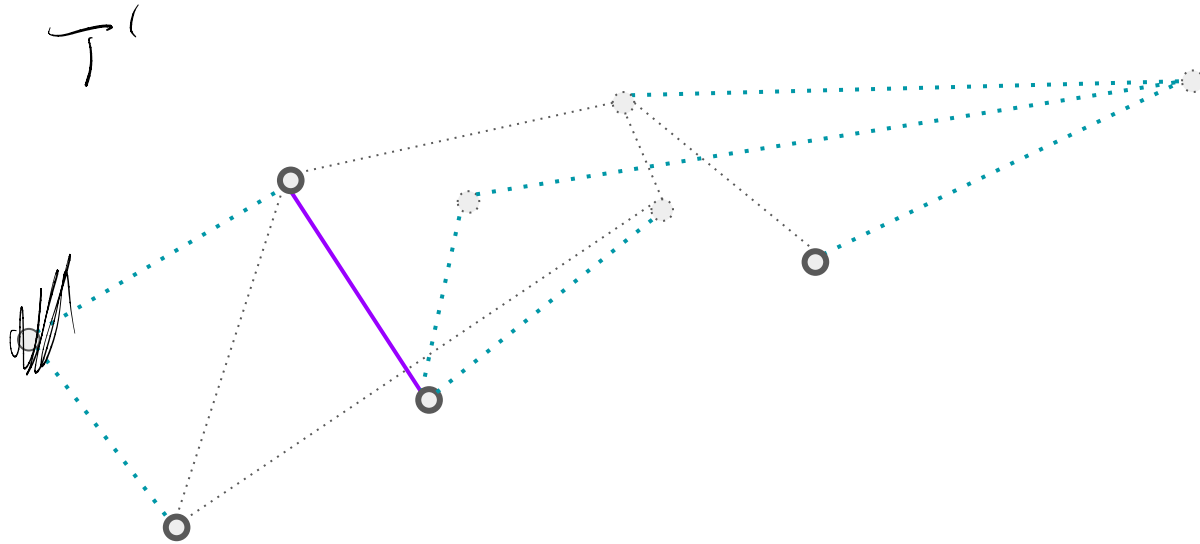
3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be a subset of  $V$ . Let  $T'$  be the subgraph of  $T$  induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ . Show that if  $T'$  is connected, then  $T'$  is an MST of  $G'$ .

Let this be the graph  $G$  and mst  $T$



Suppose we define  $V'$  as follows

3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be a subset of  $V$ . Let  $T'$  be the subgraph of  $T$  induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ . Show that if  $T'$  is connected, then  $T'$  is an MST of  $G'$ .

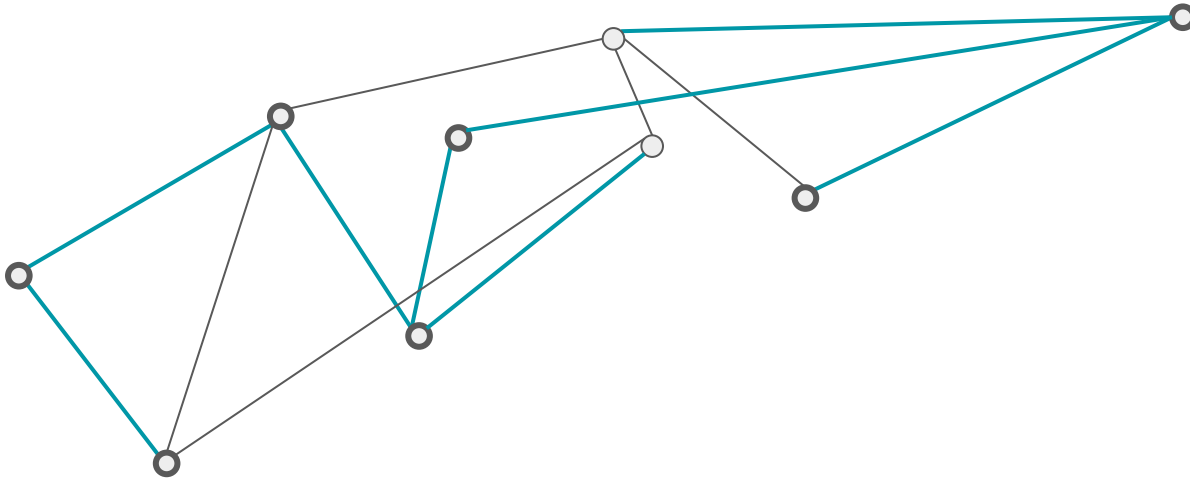


Suppose we define  $V'$  as follows. This is  $T'$ ,  $T$  induced by  $V'$

What went wrong? Why isn't a  $T'$  MST?

3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be a subset of  $V$ . Let  $T'$  be the subgraph of  $T$  induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ . Show that if  $T'$  is connected, then  $T'$  is an MST of  $G'$ .

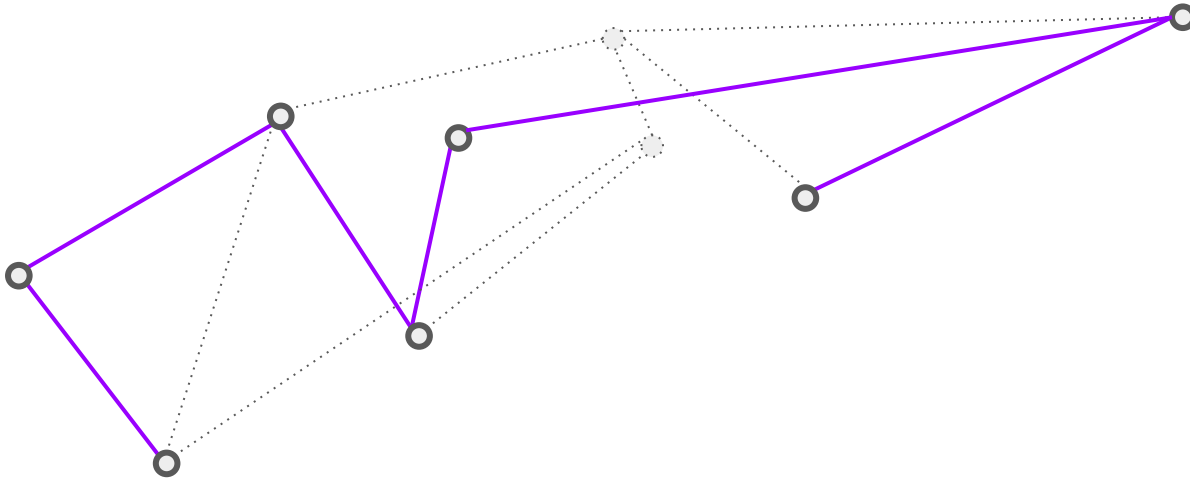
Let this be the graph  $G$  and mst  $T$



Suppose we define  $V'$  as follows

3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be a subset of  $V$ . Let  $T'$  be the subgraph of  $T$  induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ . Show that if  $T'$  is connected, then  $T'$  is an MST of  $G'$ .

Let this be the graph  $G$  and mst  $T$

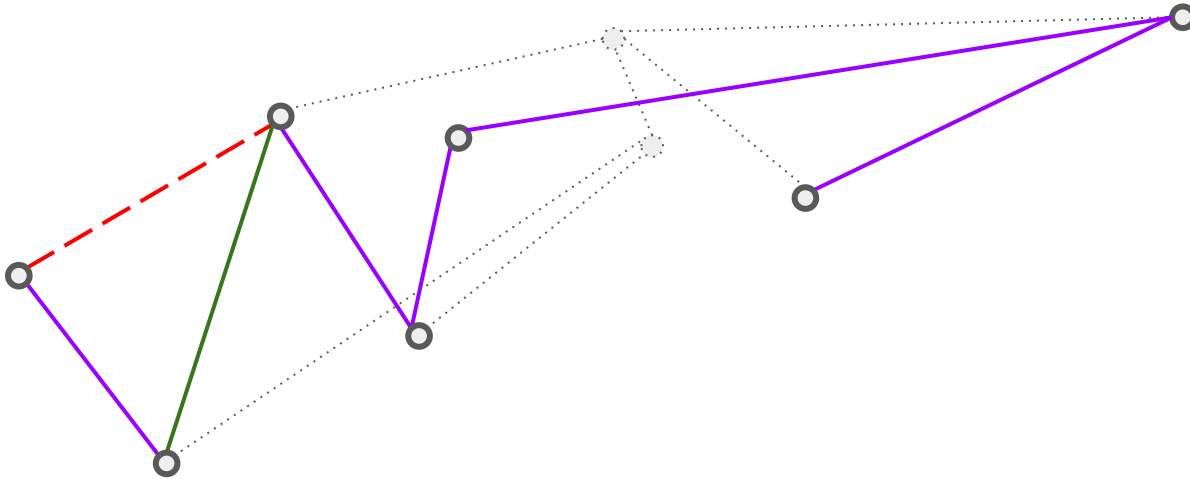


Suppose we define  $V'$  as follows. This is  $T'$ ,  $T$  induced by  $V'$

**WTS:** this is an MST of  $V'$

3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be a subset of  $V$ . Let  $T'$  be the subgraph of  $T$  induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ . Show that if  $T'$  is connected, then  $T'$  is an MST of  $G'$ .

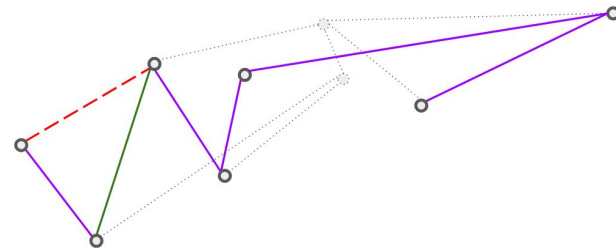
Let this be the graph  $G$  and mst  $T$



**WTS:** this is an MST of  $V'$

AftSoC there is a cheaper tree  $T''$  differing in edges above (added , removed)

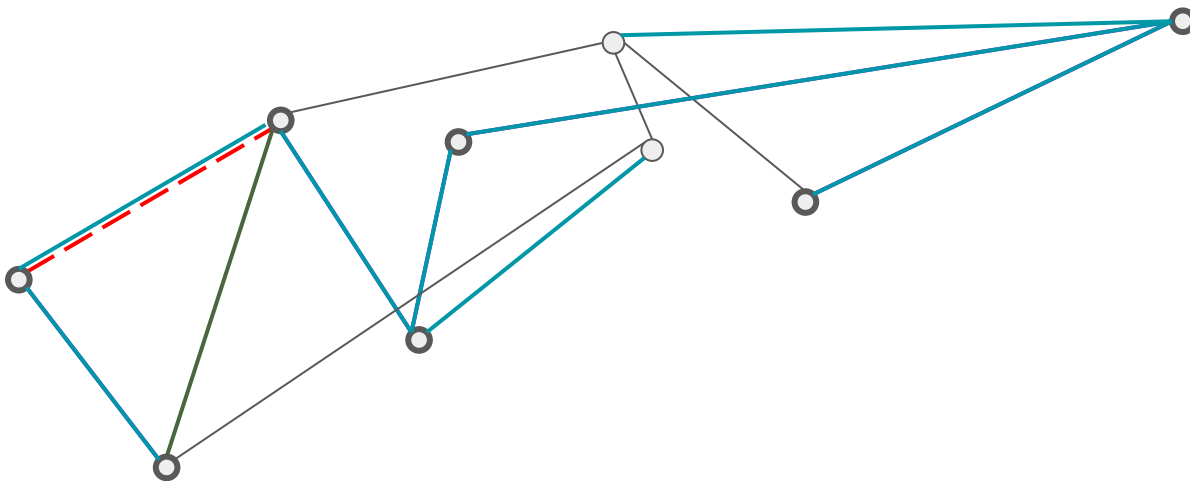
3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ .  $T$  is an MST of  $G'$ .



**WTS:** this is an MST of  $V'$

AFTSoC there is a cheaper tree  $T''$  differing in edges above (added, removed)

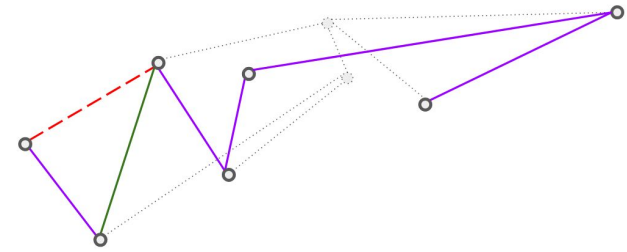
Let this be the graph  $G$  and mst  $T$



**WTS:** this is an MST of  $V'$

Back in the original graph we originally had MST  $T$

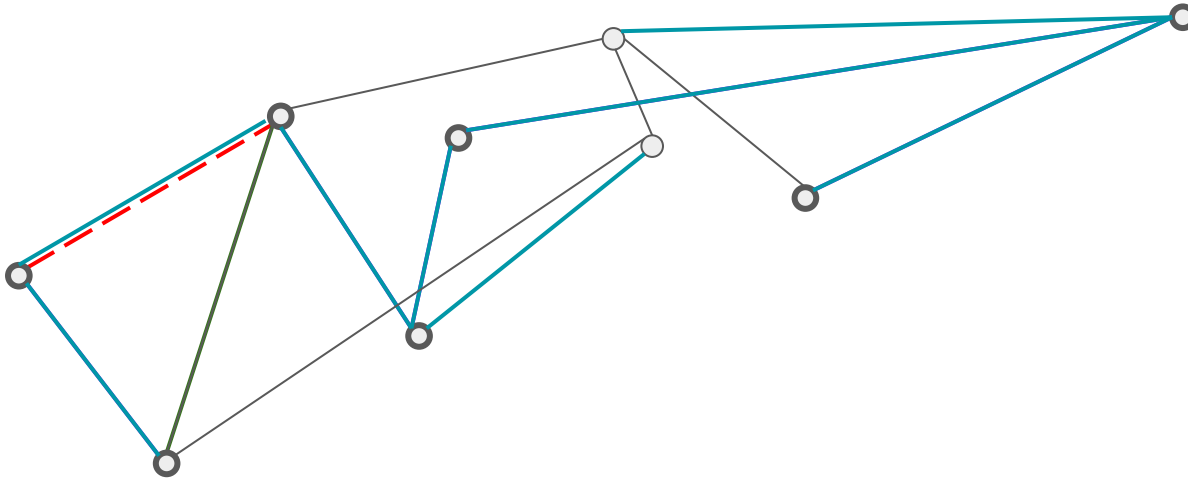
3. Let  $T$  be an MST of a graph  $G = (V, E)$ , and let  $V'$  be induced by  $V'$ , and let  $G'$  be the subgraph of  $G$  induced by  $V'$ .  $T$  is an MST of  $G'$ .



**WTS:** this is an MST of  $V'$

AFTSoC there is a cheaper tree  $T''$  differing in edges above (added, removed)

Let this be the graph  $G$  and mst  $T$



**WTS:** this is an MST of  $V'$

Removing the **red edge** and adding the **green edge** gives us a cheaper tree



## Question 2

**(Prim's & Kruskal's algorithm)**

1. Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.
2. Suppose that all edge weights in a graph are integers in the range from 1 to  $|V|$ . How fast can you make Kruskal's algorithm run?

Simple Intuition of Prim's algorithm?

## Question 2

(Prim's & Kruskal's algorithm)

1. Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.

## Dijkstra

```
algorithm DijkstraShortestPath( $G(V, E)$ ,  $s \in V$ )
  let  $\text{dist}: V \rightarrow \mathbb{Z}$ 
  let  $\text{prev}: V \rightarrow V$ 
  let  $Q$  be an empty priority queue

   $\text{dist}[s] \leftarrow 0$ 
  for each  $v \in V$  do
    if  $v \neq s$  then
       $\text{dist}[v] \leftarrow \infty$ 
    end if
     $\text{prev}[v] \leftarrow -1$ 
     $Q.\text{add}(\text{dist}[v], v)$ 
  end for

  while  $Q$  is not empty do
     $u \leftarrow Q.\text{getMin}()$ 
    for each  $w \in V$  adjacent to  $u$  still in  $Q$  do
       $d \leftarrow \text{dist}[u] + \text{weight}(u, w)$ 
      if  $d < \text{dist}[w]$  then
         $\text{dist}[w] \leftarrow d$ 
         $\text{prev}[w] \leftarrow u$ 
         $Q.\text{set}(d, w)$ 
      end if
    end for
  end while

  return  $\text{dist}$ ,  $\text{prev}$ 
end algorithm
```

## Prim's

## Prim's MST

```
algorithm DijkstraShortestPath( $G(V, E)$ ,  $s \in V$ )
  let  $\text{dist}: V \rightarrow \mathbb{Z}$ 
  let  $\text{prev}: V \rightarrow V$ 
  let  $Q$  be an empty priority queue

   $\text{dist}[s] \leftarrow 0$ 
  for each  $v \in V$  do
    if  $v \neq s$  then
       $\text{dist}[v] \leftarrow \infty$ 
    end if
     $\text{prev}[v] \leftarrow -1$ 
     $Q.\text{add}(\text{dist}[v], v)$ 
  end for

  while  $Q$  is not empty do
     $u \leftarrow Q.\text{getMin}()$ 
    for each  $w \in V$  adjacent to  $u$  still in  $Q$  do
       $d \leftarrow \text{dist}[u] + \text{weight}(u, w)$ 
      if  $d < \text{dist}[w]$  then
         $\text{dist}[w] \leftarrow d$ 
         $\text{prev}[w] \leftarrow u$ 
         $Q.\text{set}(d, w)$ 
      end if
    end for
  end while

  return  $\text{dist}$ ,  $\text{prev}$ 
end algorithm
```

## Question 2

### (Prim's & Kruskal's algorithm)

1. Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.

### Prim's MST

```
algorithm DijkstraShortestPath( $G(V, E)$ ,  $s \in V$ )
  let  $dist: V \rightarrow \mathbb{Z}$ 
  let  $prev: V \rightarrow V$ 
  let  $Q$  be an empty priority queue

   $dist[s] \leftarrow 0$ 
  for each  $v \in V$  do
    if  $v \neq s$  then
       $dist[v] \leftarrow \infty$ 
    end if
     $prev[v] \leftarrow -1$ 
     $Q.add(dist[v], v)$ 
  end for

  while  $Q$  is not empty do
     $u \leftarrow Q.getMin()$ 
    for each  $w \in V$  adjacent to  $u$  still in  $Q$  do
       $d \leftarrow \mathbf{dist[u]} + weight(u, w)$ 
      if  $d < dist[w]$  then
         $dist[w] \leftarrow d$ 
         $prev[w] \leftarrow u$ 
         $Q.set(d, w)$ 
      end if
    end for
  end while

  return  $dist, prev$ 
end algorithm
```

### Pseudocode

//Initialize prev, dist

Let  $dist[v]$  = current min. edge to  $v$

while pq is not empty:

Vertex  $u \leftarrow pq.pop()$

for each edge  $(u, v)$ :

if  $wt(u, v) < dist[v]$ :

update dist and pq

What we can do with an adj matrix

## Question 2

(Prim's & Kruskal's algorithm)

1. Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.

$$A[u][v] = w(u, v)$$

### Prim's MST

algorithm DijkstraShortestPath( $G(V, E)$ ,  $s \in V$ )

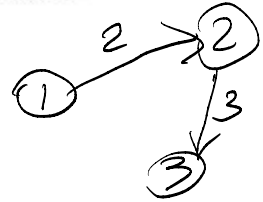
```
let dist:  $V \rightarrow \mathbb{Z}$ 
let prev:  $V \rightarrow V$ 
let  $Q$  be an empty priority queue
```

```
dist[s]  $\leftarrow 0$ 
for each  $v \in V$  do
  if  $v \neq s$  then
    dist[v]  $\leftarrow \infty$ 
  end if
  prev[v]  $\leftarrow -1$ 
   $Q.add(dist[v], v)$ 
end for
```

```
while  $Q$  is not empty do
   $u \leftarrow Q.getMin()$ 
  for each  $w \in V$  adjacent to  $u$  still in  $Q$  do
     $d \leftarrow \text{dist}[u] + \text{weight}(u, w)$ 
    if  $d < \text{dist}[w]$  then
      dist[w]  $\leftarrow d$ 
      prev[w]  $\leftarrow u$ 
       $Q.set(d, w)$ 
    end if
  end for
end while
```

```
return dist, prev
end algorithm
```

Pseudocode



//Initialize prev, dist

Let  $\text{dist}[v]$  = current min. edge to  $v$

while pq is not empty:

Vertex  $u \leftarrow pq.pop()$

for each edge  $(u, v)$ :

if  $\text{wt}(u, v) < \text{dist}[v]$ :

update dist and pq

$$\begin{bmatrix} 0 & 2 & 0 \\ 0 & 0 & 3 \\ 0 & 0 & 0 \end{bmatrix}$$

What we can do with an adj matrix

## Question 2

### (Prim's & Kruskal's algorithm)

1. Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.

### Prim's MST

**algorithm** DijkstraShortestPath( $G(V, E)$ ,  $s \in V$ )

```
let dist:  $V \rightarrow \mathbb{Z}$ 
let prev:  $V \rightarrow V$ 
let  $Q$  be an empty priority queue

dist[s]  $\leftarrow 0$ 
for each  $v \in V$  do
  if  $v \neq s$  then
    dist[v]  $\leftarrow \infty$ 
  end if
  prev[v]  $\leftarrow -1$ 
   $Q.add(dist[v], v)$ 
end for

while  $Q$  is not empty do
   $u \leftarrow Q.getMin()$ 
  for each  $w \in V$  adjacent to  $u$  still in  $Q$  do
     $d \leftarrow dist[u] + weight(u, w)$ 
    if  $d < dist[w]$  then
      dist[w]  $\leftarrow d$ 
      prev[w]  $\leftarrow u$ 
       $Q.set(d, w)$ 
    end if
  end for
end while

return dist, prev
end algorithm
```

### Pseudocode

//Initialize prev, dist

Let  $dist[v]$  = current min. edge to  $v$

while pq is not empty:

Vertex  $u \leftarrow pq.pop()$

for each edge  $(u, v)$ :

if  $wt(u, v) < dist[v]$ :

update dist and pq

What we can do with an adj matrix

What we cannot do (right away)

## Question 2

(Prim's & Kruskal's algorithm)

1. Suppose that we represent the graph  $G = (V, E)$  as an adjacency-matrix. Give a simple implementation of Prim's algorithm for this case that runs in  $O(|V|^2)$  time.

//Initialize prev, dist

Let  $\text{dist}[v]$  = current min. edge to  $v$

while pq is not empty:

Vertex  $u \leftarrow \text{pq.pop}()$ :

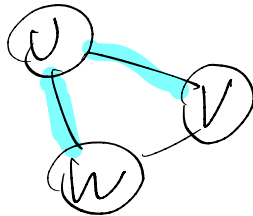
$T.\text{add}(u)$   
for each edge  $(u, v)$ :

if  $\text{wt}(u, v) < \text{dist}[v]$ :

$\text{Prev}[v] = u$

$\text{Prev}[v] = u$

update dist and pq



Prims( $G, \text{start}$ ):

//Initialize prev, dist

~~Let  $T = \{\text{start}\}$~~

for  $i \in V - \{\text{start}\}$

let  $j$  be the min. weight neighbor of  $i$ :

$T.\text{add}(i)$

for  $k = 1, \dots, V$  such that  $A[i][k] \neq 0$ :

if  $\text{wt}(i, k) < \text{dist}[k]$ :

$\text{dist}[k] = \text{wt}(i, k)$

$\text{Prev}[k] = i$

$\checkmark$   $\text{dist} = [\infty, \dots, 0, \infty)$   
Start

Can do this w/  
for loop in  $O(n)$

2. Suppose that all edge weights in a graph are integers in the range from 1 to  $|V|$ . How fast can you make Kruskal's algorithm run?

## Kruskal

- Sort edges by increasing order of their weights //  $O(?)$  time
- Run a Union Finding procedure //  $\sim O(|E|)$  time

With counting sort, Kruskal runs in  $O(|E| + |V|)$  time.

The **values** of the edges are bounded by  $|V|$ . What's a good sorting algorithm for this?

faster than  $O(|E| \log |E|)$  time?

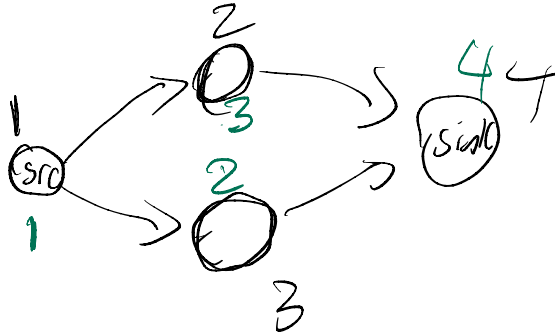
$$\begin{aligned} \text{Counting sort} &= O(\text{max value} + |E|) \\ &= O(|V| + |E|) \end{aligned}$$

### Question 3

#### (Topological Ordering)

1. Draw a directed acyclic graph  $G = (V, E)$  with  $|V| = 5$  nodes that has exactly two topological orderings.
2. Prove that  $G$  has a topological ordering if and only if  $G$  is a DAG.

When do we have two topo orderings?





2. Prove that  $G$  has a topological ordering if and only if  $G$  is a DAG.

( $\rightarrow$ ) Suppose  $G$  has a topo ordering (**WTS: DAG**)

AFTSOL there is a cycle

I can't have a topological ordering.

Fix a topological labeling

~~if~~ a discrepancy

( $\leftarrow$ ) Suppose  $G$  is a DAG (**WTS: topo ordering**)

•  $G$  has a source(s) and a sink(s).

• Assume for all DAGs  $G'$  with  $n' < n$  nodes, it has a topological ordering.

• Suppose  $G$  has  $n$  nodes. Remove the sink  $s$ .

By IH, there is a topological ordering of  $G - s$ . Add  $s$  to the end of the order.

