



PSO 13

Amortization and Randomization

Amortization: doubling array

Supporting insertion into static arrays in $O(1)$ *amortized* time

Alloc(n)



Amortization: doubling array

Supporting insertion into static arrays in $O(1)$ *amortized* time

Alloc(n)
Insert(1)



Amortization: doubling array

Supporting insertion into static arrays in $O(1)$ *amortized* time

Alloc(n)

Insert(1)

Insert(2) $O(1)$



Amortization: doubling array

Supporting insertion into static arrays in $O(1)$ *amortized* time

Alloc(n)

Insert(1)

Insert(2)

...

Insert($n - 1$)

All $O(1)$ worst case operations so far...



Amortization: doubling array

Supporting insertion into static arrays in $O(1)$ *amortized* time

```
Alloc(n)
Insert(1)
Insert(2)
...
Insert(n - 1)
Insert(n)
```

```
If Array.size == Array.capacity:
    alloc(2n)
    //move all elts to new array
```



Amortization: doubling array

Supporting insertion into static arrays in $O(1)$ *amortized* time

Alloc(n)

Insert(1)

Insert(2)

...

Insert($n - 1$)

Insert(n)

If `Array.size == Array.capacity:`

`alloc(2n)`

`//move all elts to new array`



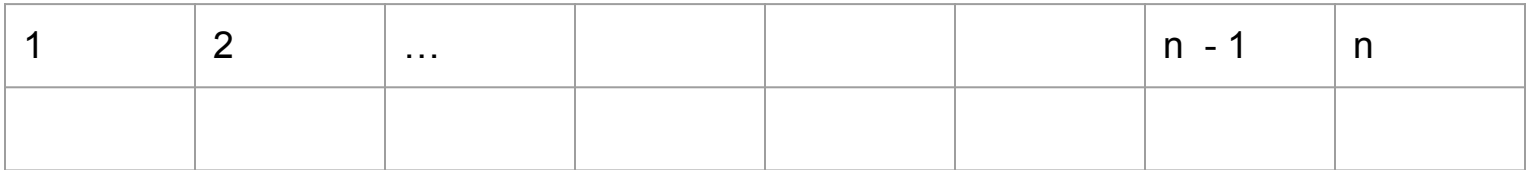
Amortization: doubling array

Supporting insertion into static arrays in $O(1)$ *amortized time*

```
Alloc(n)
Insert(1)
Insert(2)
...
Insert(n - 1)
Insert(n)
```

$O(n)$ worst case, but supposedly constant amortized.. why?

```
If Array.size == Array.capacity:
    alloc(2n)
    //move all elts to new array
```



Amortization with Coins

Banker's method: every elt gets some # coins, used to pay for expensive operations

Alloc(n)



RULES

Initially 0 coins in the system
coins never drops below 0

If this is true, you can conclude..

coins per elt = $k \Rightarrow O(k)$
amortized cost

Amortization with Coins

Banker's method: every elt gets some # coins, used to pay for expensive operations

Alloc(n)
Insert(1)

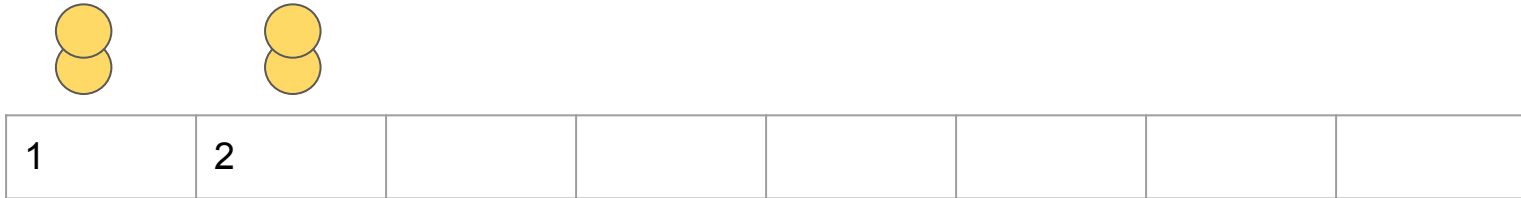
(Allocate C coins, we will
find specific C later..)



Amortization with Coins

Banker's method: every elt gets some # coins, used to pay for expensive operations

Alloc(n)
Insert(1)
Insert(2)



Amortization with Coins

Banker's method: every elt gets some # coins, used to pay for expensive operations

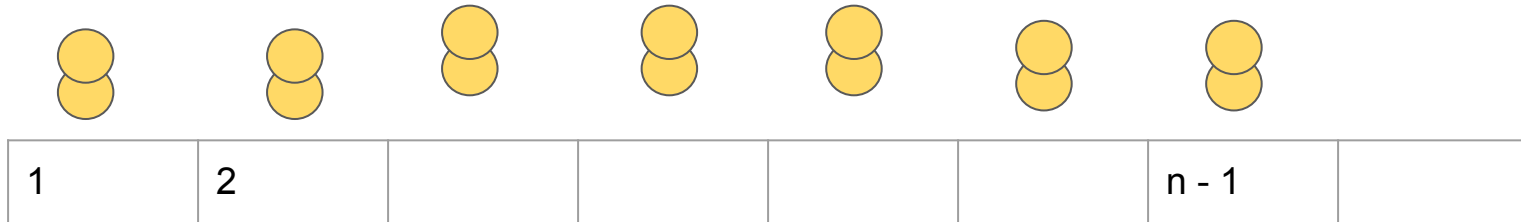
Alloc(n)

Insert(1)

Insert(2)

...

Insert(n - 1)



Amortization with Coins

Banker's method: every elt gets some # coins, used to pay for expensive operations

Alloc(n)

Insert(1)

Insert(2)

...

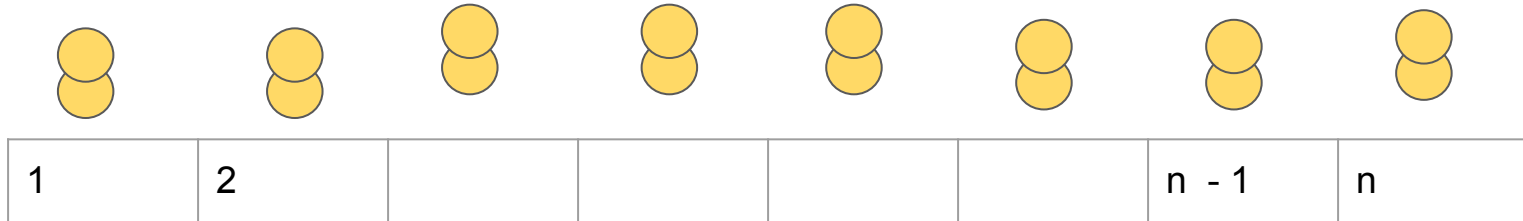
Insert(n - 1)

Insert(n)

If Array.size == Array.capacity:

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Amortization with Coins

Banker's method: every elt gets some # coins, used to pay for expensive operations

Alloc(n)

Insert(1)

Insert(2)

...

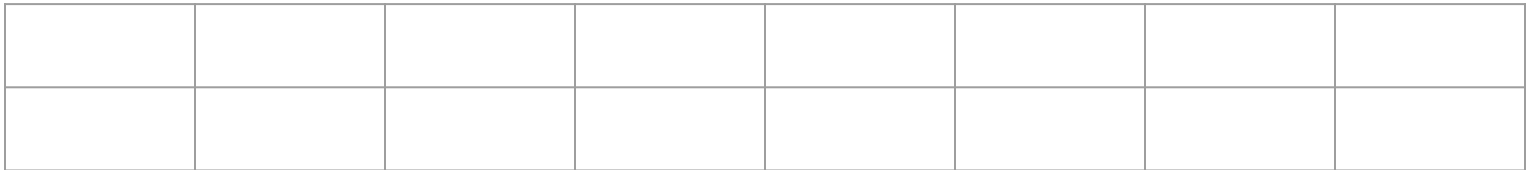
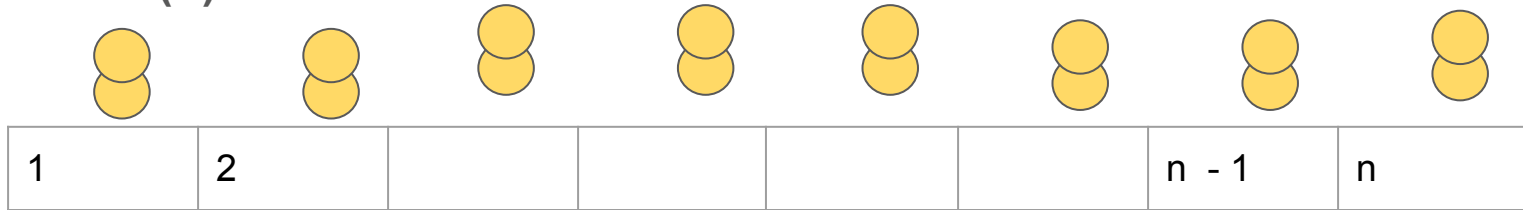
Insert(n - 1)

Insert(n)

If Array.size == Array.capacity:

alloc(2n)

//move all elts to new array



Amortization with Coins

We only need one coin per elt to pay for the doubling! (But is 1 enough?)



Double -> Double (Expensive op after expensive op)

Add n more items

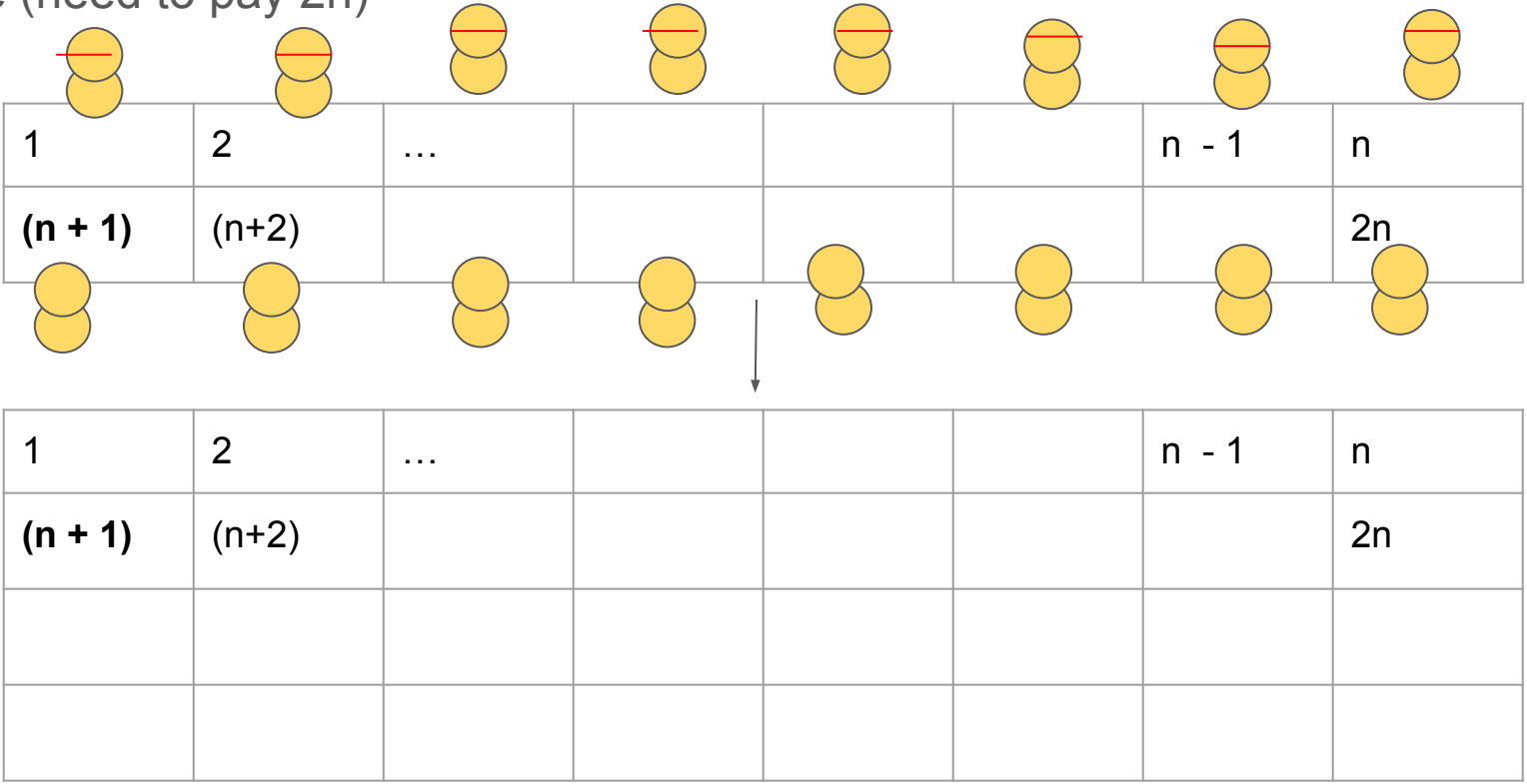


1	2	...				$n - 1$	n
$(n + 1)$	$(n + 2)$						$2n$



Double -> Double (Expensive op after expensive op)

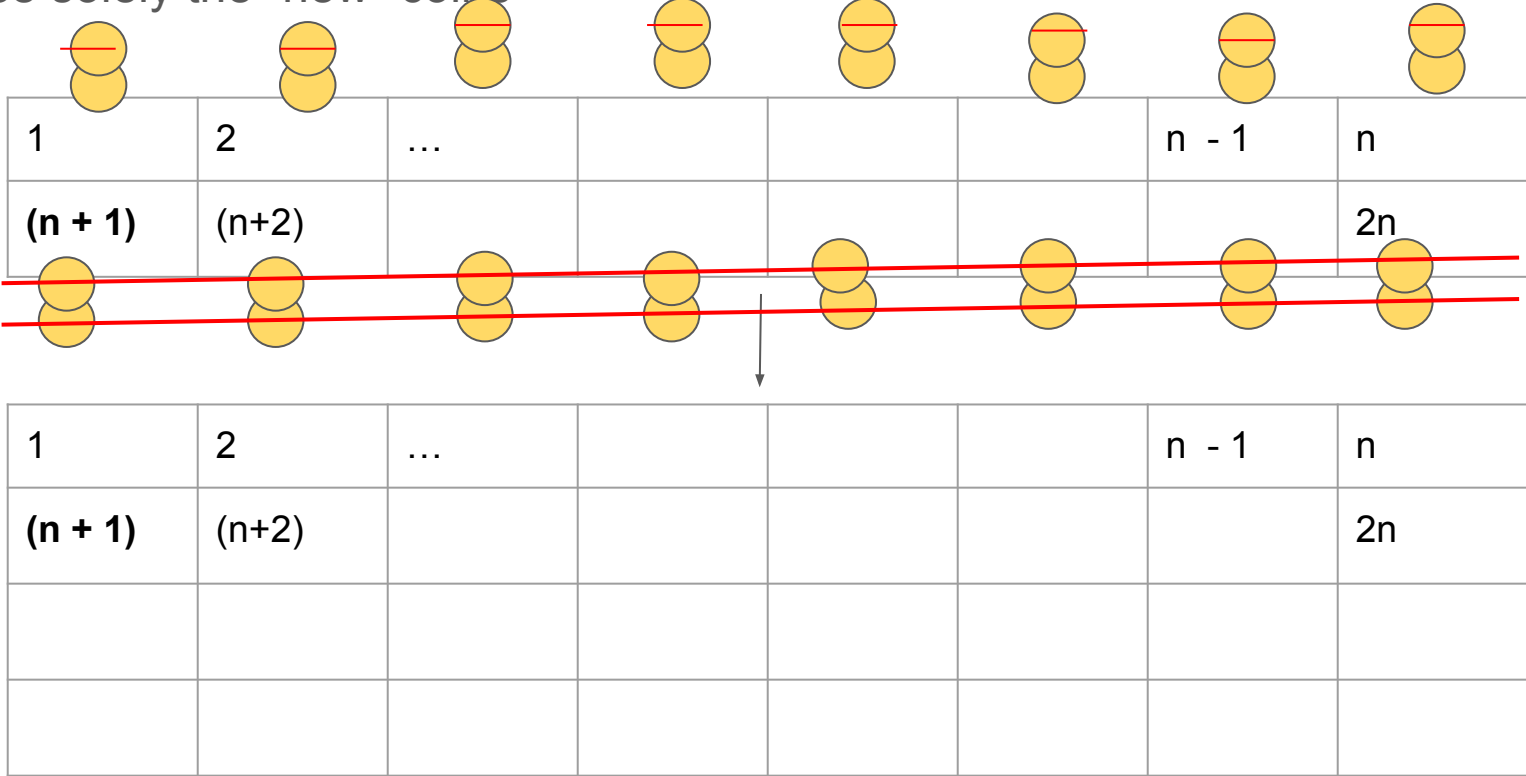
Double (need to pay $2n$)



Double -> Double (Expensive op after expensive op)

2 coins per item

Can use solely the "new" coins



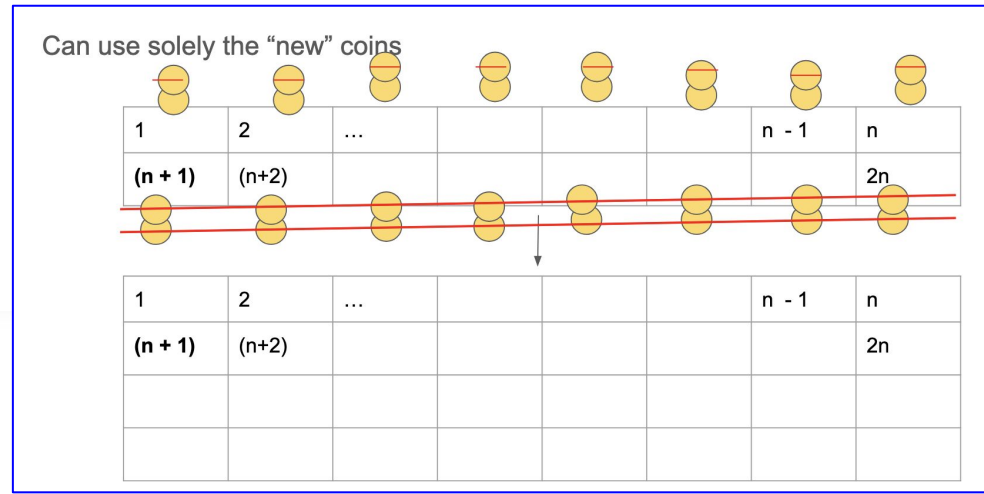
How do you prove this?

First, the rules are followed.

Then,

- Allocate C coins per inserted element
- Inserts w/o resizing - $O(1)$ time

$$C = \text{[scribble]}$$



RULES
 ally 0 coins in the system
 oins never drops below 0
 is true, you can conclude..

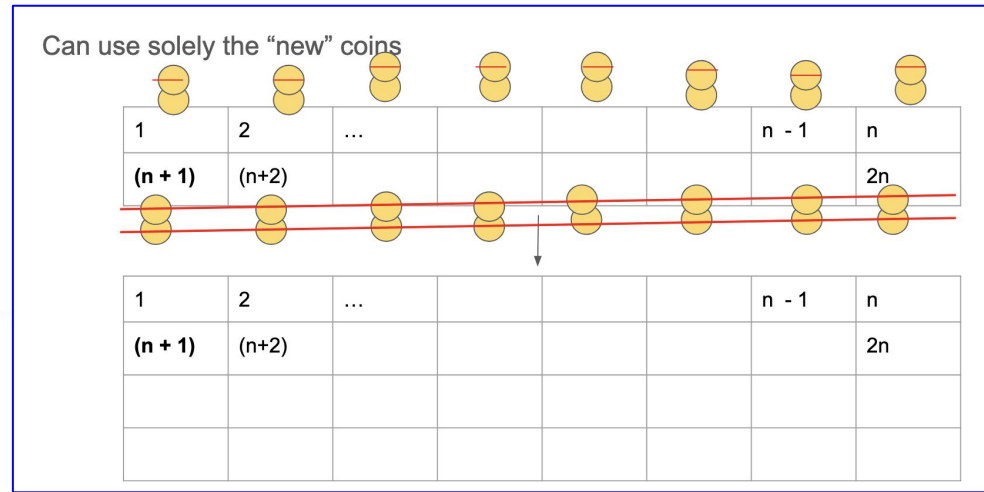
How do you prove this?

First, the rules are followed.

Then,

$$C = 2$$

- Allocate C coins per inserted element
- Inserts w/o resizing - $O(1)$ time
- Inserts w/ resizing:
 - SPS we have n elts currently



RULES

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oins never drops below 0

is true, you can conclude..

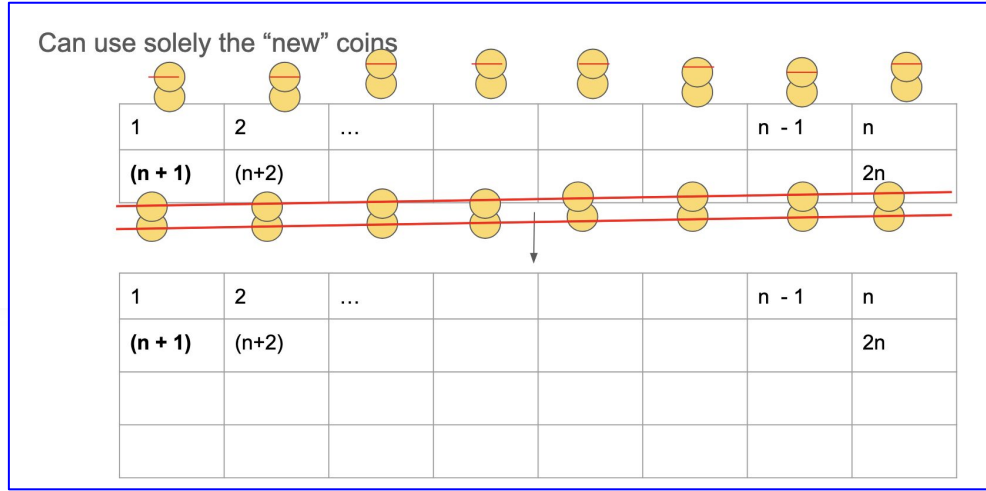
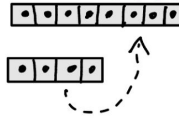
How do you prove this?

First, the rules are followed.

Then,

$$C = 2$$

- Allocate C coins per inserted element
 - Inserts w/o resizing - $O(1)$ time
 - Inserts w/ resizing:
 - SPS we have n elts currently
 - We have successfully resized when we had $n/2$ elts
- (induction).



RULES

ally 0 coins in the system
oins never drops below 0

is true, you can conclude..

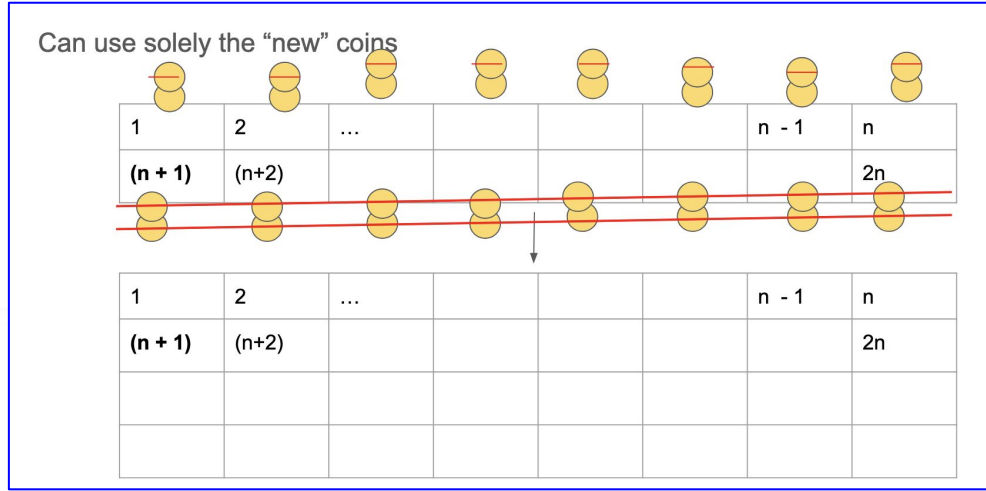
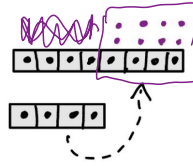
How do you prove this?

First, the rules are followed.

Then,

$$C = 2$$

- Allocate C coins per inserted element
- Inserts w/o resizing - $O(1)$ time
- Inserts w/ resizing:
 - SPS we have n elts currently
 - We have successfully resized when we had $n/2$ elts
 - There are $n/2$ elts leftover with C unused coins



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is true, you can conclude..

How do you prove this?

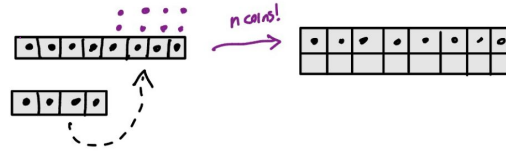
First, the rules are followed.

Then,

$$C = 2$$

- Allocate C coins per inserted element
- Inserts w/o resizing - O(1) time
- Inserts w/ resizing:
 - SPS we have n elts currently
 - We have successfully resized when we had $n/2$ elts
 - There are $n/2$ elts leftover with C unused coins
 - $C \times n/2 = n$ coins to use for copying

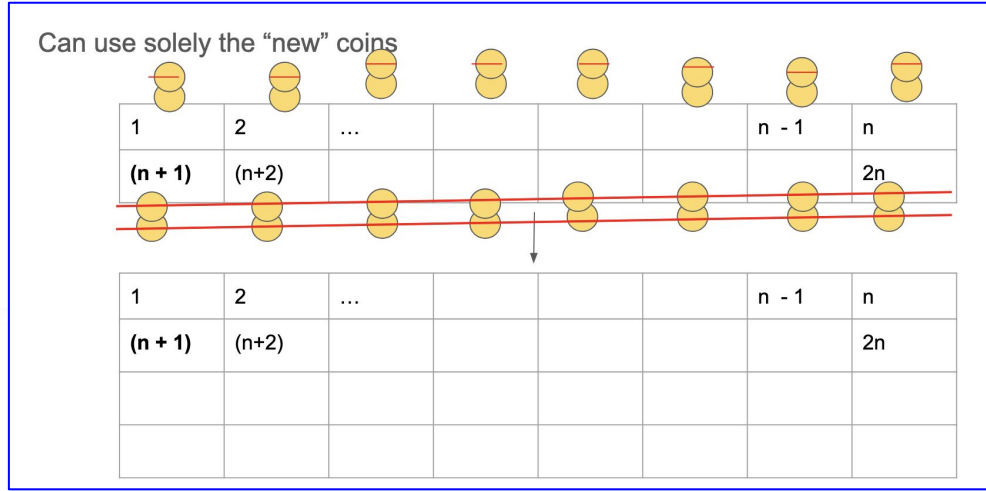
(POG)



need n coins
have $(n/2)$ elements
w/ C coins

$$C \cdot \left(\frac{n}{2}\right) \geq n$$

\nwarrow
 $C = 2$



RULES

ally 0 coins in the system
oins never drops below 0

is true, you can conclude..

(Re)Introduction to Probability

The study of random variables, events, and expectation

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Coin flip (H/T)



(Re)Introduction to Probability

The study of random variables, events, and expectation

Coin flip (H/T)

One flip result is
heads/tails

With probability

$$Pr[\text{heads}] = \frac{1}{2}$$

$$Pr[\text{tails}] = \frac{1}{2}$$

(Re)Introduction to Probability

The study of random variables, events, and expectation (or average)

Coin flip (H/T)

One flip result is
heads/tails

With probability

$E[\text{flip result}] = .5 \text{ heads}$

||
heads

$$(.5)(1)$$

$$+ (.5)(0)$$

$$= .5$$

More
formally...

(Re)Introduction to Probability

The study of random variables, events, and expectation (**or average**)

$$X = \begin{cases} 1 & \text{if heads,} \\ 0 & \text{if tails} \end{cases}$$

$$\underbrace{(X = 1)} \text{ or } \underbrace{(X = 0)} \quad E[X] = .5$$

$$\Pr[X = 1] = .5$$

$$\Pr[X = 0] = .5$$

Random algorithm A

With output

$$A(\text{input}) = \underline{0} \text{ or } \underline{1} \text{ or } \underline{2}$$

How should you think about probability?

My interpretation: mass and proportion

Ex: Let X and Y be the random variables of two (different) coin flips



How should you think about probability?

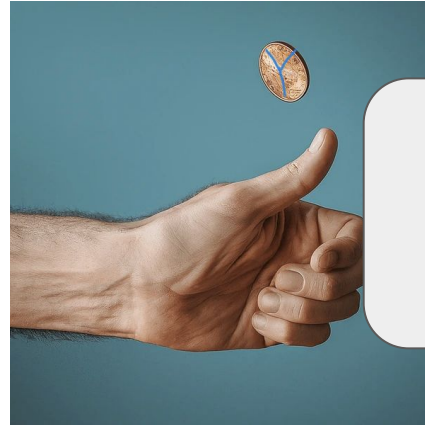
My interpretation: mass and proportion

Ex: Let X and Y be the random variables of two (different) coin flips

Each have their own possibilities (**probability space**)



50% H $P_C[H] = 1/2$	50% T $P_C[T] = 1/2$
----------------------------	----------------------------



50% H	50% T
----------	----------

How should you think about probability?

My interpretation: mass and proportion

Ex: Let X and Y be the random variables of two (different) coin flips

Each have their own possibilities (**probability space**)

What is the probability space of RV $\underline{Z} = \underline{(X,Y)}$? $\hat{=}$



25% (H, H)	25% (H, T)
25% (T, H)	25% (T, T)

- (H, H)
- (H, T)
- (T, H)
- (T, T)

How should you think about probability?

My interpretation: mass and proportion

Ex: Let X and Y be the random variables of two (different) coin flips

Each have their own possibilities (**probability space**)

What is the probability space of RV $Z = (X, Y)$?



(H,H)	(H,T)
(T,H)	(T,T)

$$\Pr[X = H, Y = T] = \frac{1}{4}$$

How should you think about probability?

My interpretation: mass and proportion

Ex: Let X and Y be the random variables of two (different) coin flips

Each have their own possibilities (**probability space**)

What is the probability space of RV $Z = (X, Y)$?



(H,H)	(H,T)
(T,H)	(T,T)

$$\begin{aligned}\Pr[X = H, Y = T] &= 1/4 \\ \Pr[X = T, Y = H] &= 1/4 \\ \Pr[X = H \text{ or } Y = H] &= 3/4.\end{aligned}$$

How should you think about probability?

My interpretation: mass and proportion

Ex: Let X and Y be the random variables of two (different) coin flips

Each have their own possibilities (**probability space**)

What is the probability space of RV $Z = (X, Y)$?



(H,H)	(H,T)
(T,H)	(T,T)

$$\Pr[X = H, Y = T] = 1/4$$

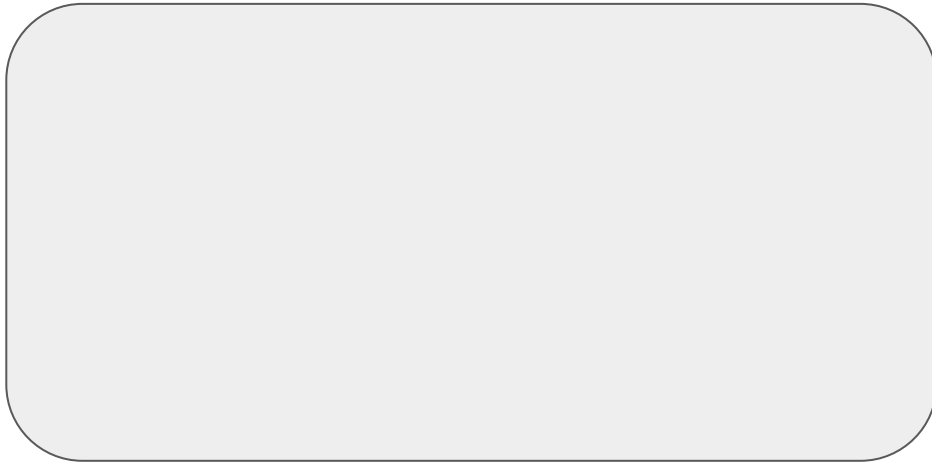
$$\Pr[X = T, Y = H] = 1/4$$

$$\Pr[X = H \text{ or } Y = H] = 3/4$$

How should you think about probability?

My interpretation: mass and proportion

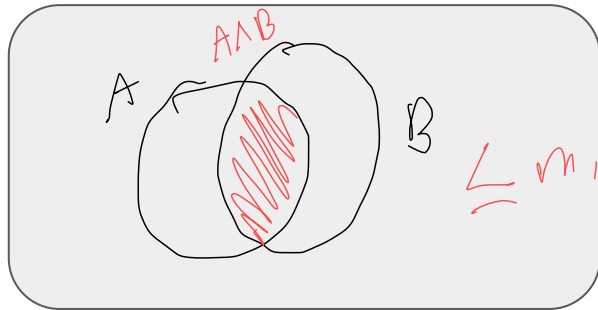
More generally, two algorithms A,B outputting either 0,1,2 with R.V.s X, Y



Exercise 25.1. Prove or disprove: For any two events A, B ,

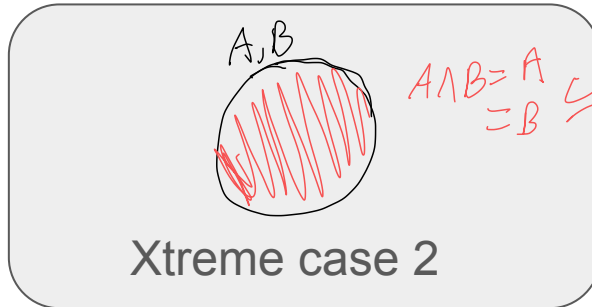
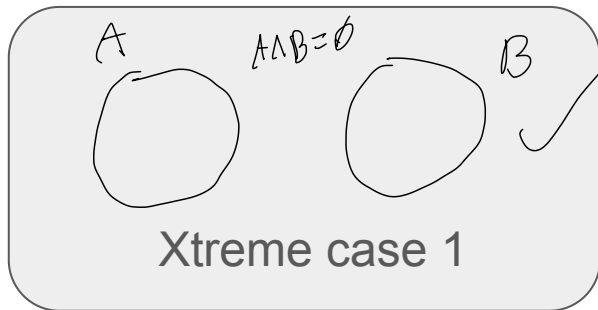
$$P[A \wedge B] \leq \min\{P[A], P[B]\}.$$

Intuition is incredibly important for probability. What can the prob. space look like?



$\leq \min\{P[A], P[B]\}$

or



$\leq \min\{P[A], P[B]\}$