



# PSO 1

Recurrences, divide and conquer

<https://justin-zhang.com/teaching/CS381>

**Exercise 1.1.** Use recursion trees to solve the following recurrences.

1.  $T(n) = 3T(n/3) + 6n$  and  $T(1) = 2$ .

2.  $T(n) = 2T(n/3) + 4n$  and  $T(1) = 7$ .

3.  $T(n) = 4T(n/3) + n$  and  $T(1) = 11$ .

**Exercise 1.3.** Problems 1–5 describe a search problem on a nonempty array  $A[1..n]$  of comparable elements.<sup>7</sup> For each of these problems, design a recursive algorithm that solves the search problem as fast as possible.<sup>8</sup> Briefly analyze the running time of each algorithm.<sup>9</sup>

1. Let  $A[1..n]$  be in a *rotated* sorted order. That is, there exists an index  $i \in [n]$ , called the *rotation index*, such that the concatenation of  $A[i..n]$  and  $A[1..i - 1]$  is sorted in increasing order. (One can think of  $A[1..n]$  as being sorted initially, and then rotated cyclically to the right by  $i - 1$  slots). The goal is to compute the unknown rotation index  $i$ . For simplicity, you may assume all the elements are distinct.

**Exercise 1.7.** Let  $A[1..n] \in \mathbb{R}^n$  be an array of  $n$  numbers. An *inversion* is a pair of numbers out of increasing order; more precisely, a pair of indices  $i, j \in [n]$  such that  $i < j$  and  $A[i] > A[j]$ . Design and analyze an algorithm (as fast as possible) for counting the number of inversions in  $A$ .

- . Give a linear time algorithm taking as input a tree and returning a centroid. Your proof of correctness doubles as a proof that every tree has a centroid.

**Exercise 2.2.** For each of the recursive specifications below, design a recursive algorithm implementing the specification. (No proof or analysis is needed.)<sup>5</sup>

1. **subset-sum**( $x_1, \dots, x_n, T$ ): Given  $n$  integers  $x_1, \dots, x_n \in \mathbb{Z}$ , and an additional integer  $T$ , returns **true** if there is a subset of indices  $S \subseteq [n]$  such that  $\sum_{i \in S} x_i = T$ , and **false** otherwise.

1.  $T(n) = 3T(n/3) + 6n$  and  $T(1) = 2$ .

**Recall the steps of a recursion tree**

1. Draw out the tree
2. Find the cost at the  $i$ th level and the number of levels
3. Derive the sum and closed form

1.  $T(n) = 3T(n/3) + \underline{6n}$  and  $T(1) = 2$ .

Each node tells us the *non-recursive* work done.  
**Start with the root as  $T(n)$**

$T(n): \underline{6n}$

Have a branch for each recursive call

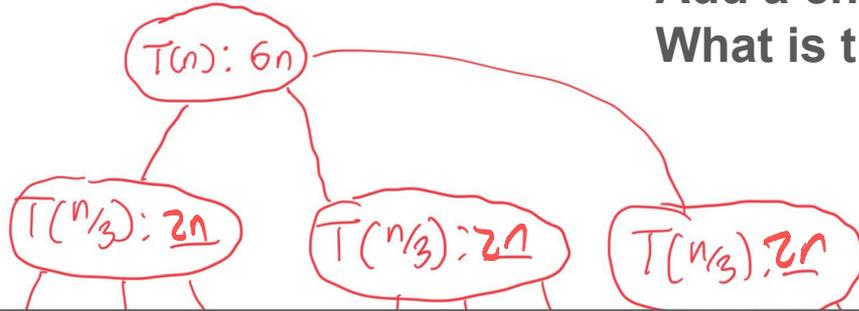
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**Add a child for each recursive call**

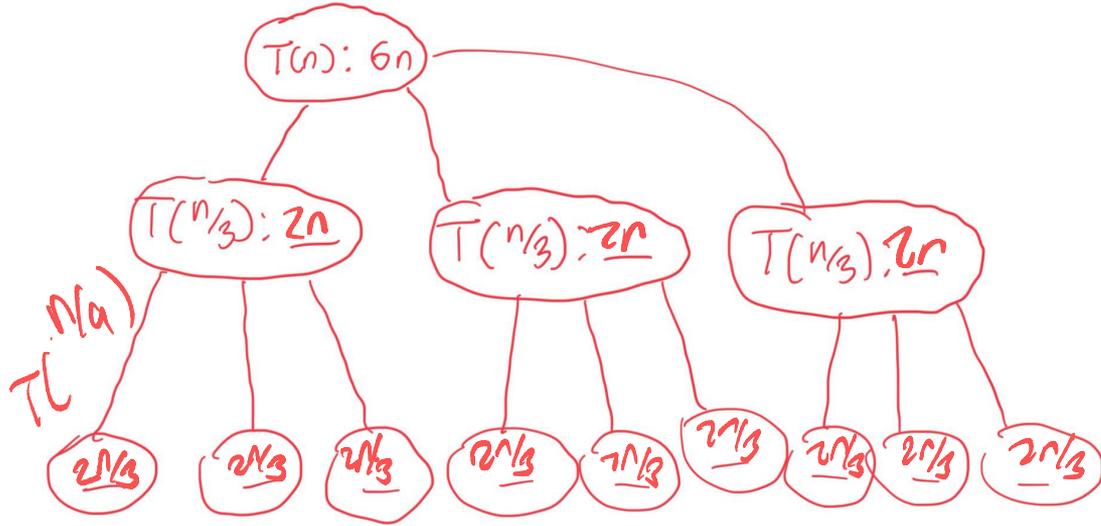
**What is the non-rec. work done in each child?**



1. Draw out the tree
2. Find the cost at the  $i$ th level and the number of levels
3. Derive the sum and closed form

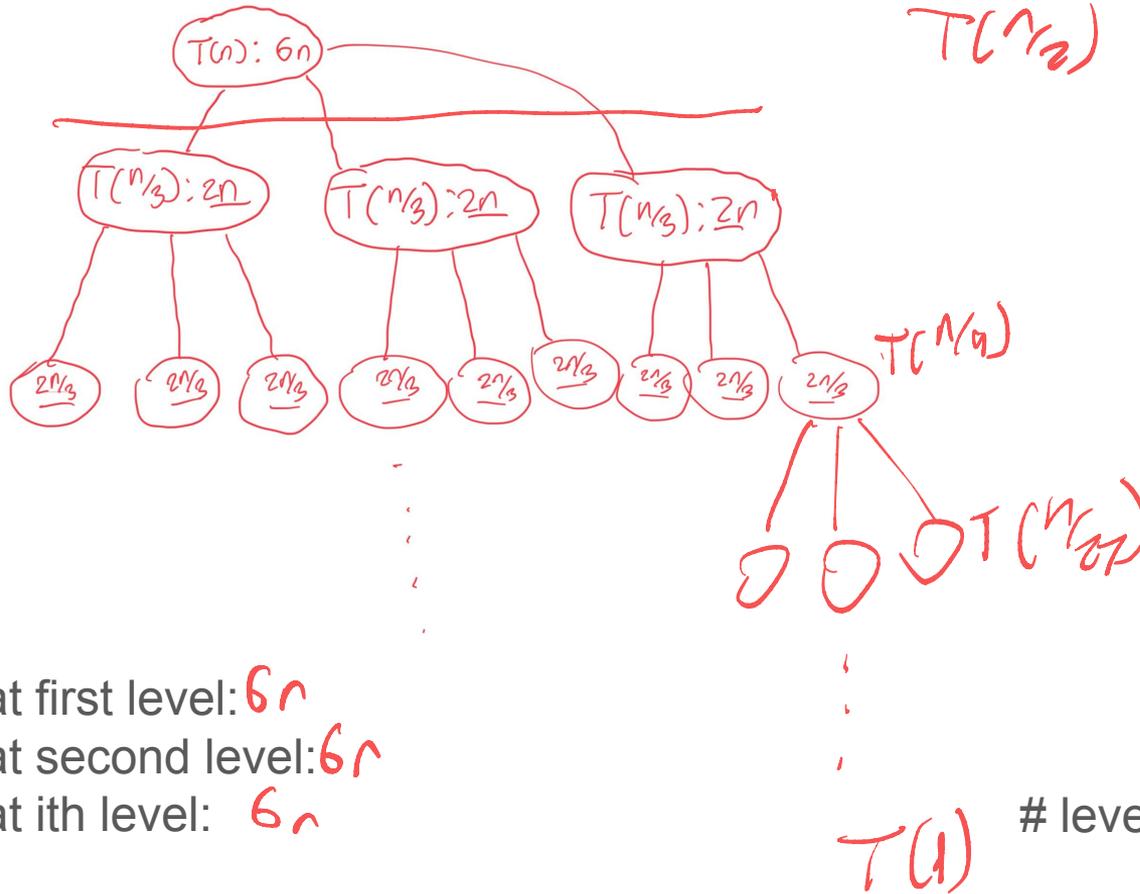
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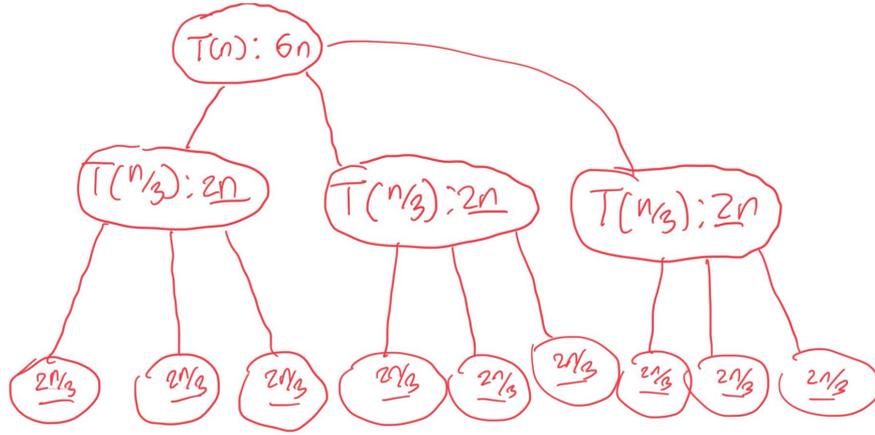


Cost at first level:  $6n$   
 Cost at second level:  $6n$   
 Cost at  $i$ th level:  $6n$

1. Draw out the tree
2. Find the cost at the  $i$ th level and the number of levels
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# levels:  $\log_2 n = \underline{O(\log n)}$

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1. Draw out the tree
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$C_i$  cost @  $i$ th level:  $\frac{6n}{3^i}$   
 $N$  number of levels:  $\log_3 n$

Solve sum:

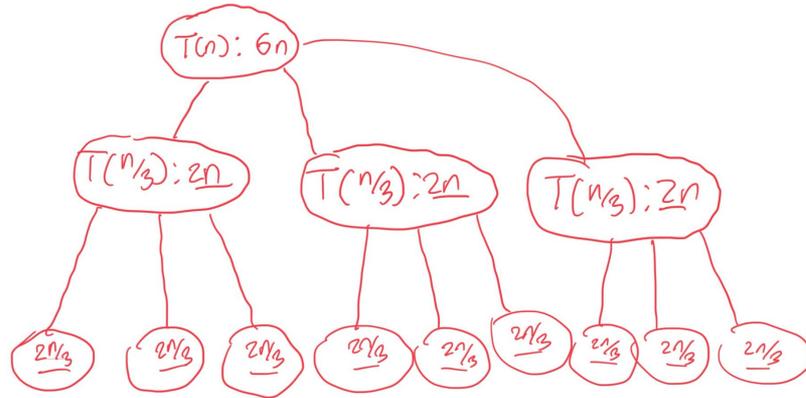
$$\sum_{i=1}^N C_i = \sum_{i=1}^{\log_3 n} \frac{6n}{3^i} = O(n \log n)$$

(big-O is fine)

Aside: this is the “merge sort” recurrence

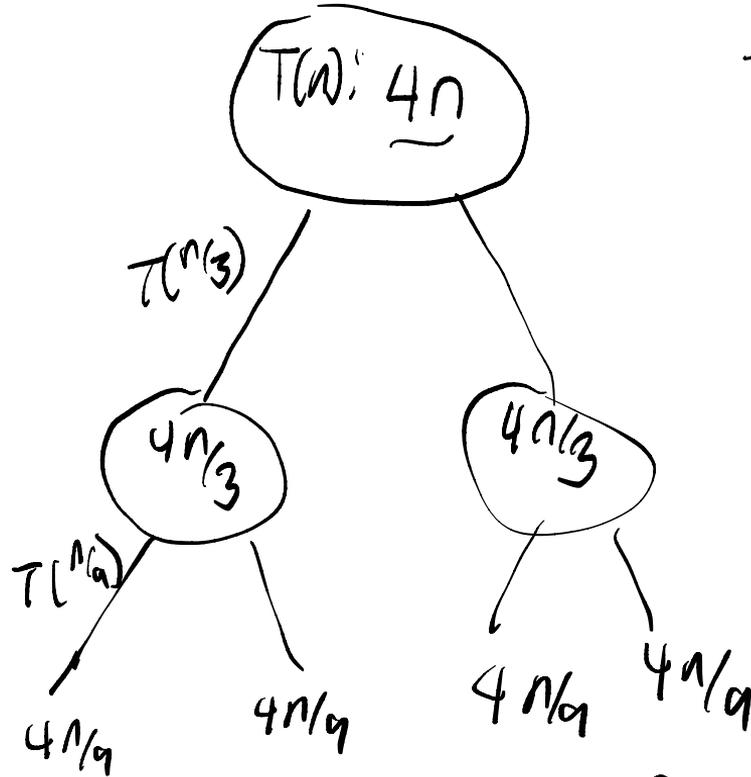
For any constant  $c$  and  $C$ ,

$$T(n) = cT(n/c) + Cn \in O(n \log n)$$



Each level will sum to  $Cn$ !  
(Here  $C$  was 6)

2.  $T(n) = 2T(n/3) + 4n$  and  $T(1) = 7$ .



Cost  
 $4n = (2^0)4n$

$8n/3 = (2^1)(4n/3)$

$16n/9 = (2^2)(4n/9) = \frac{(2^2)(4n)}{(3^2)}$   
 $= (2/3)^2 \times 4n$

$C_i = (2/3)^i \times (4n)$   
 #levels:  $\log_3 n$

$\sum_{i=1}^{\log_3 n} (2/3)^i (4n) \leq 4n \sum_{i=0}^{\infty} (2/3)^i = \boxed{6n}$

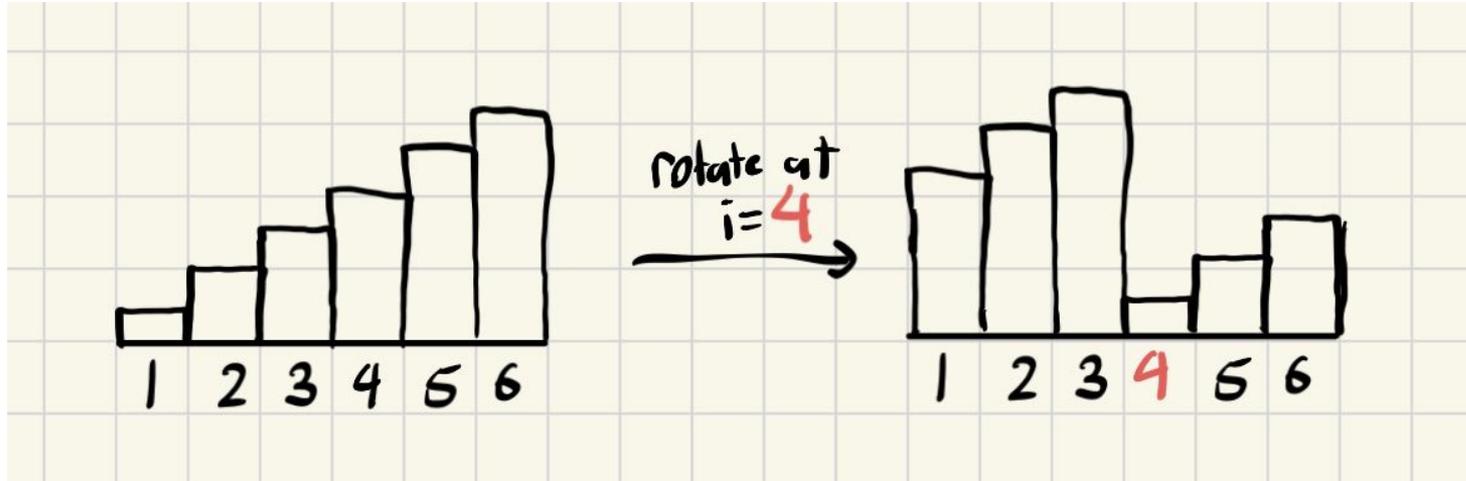
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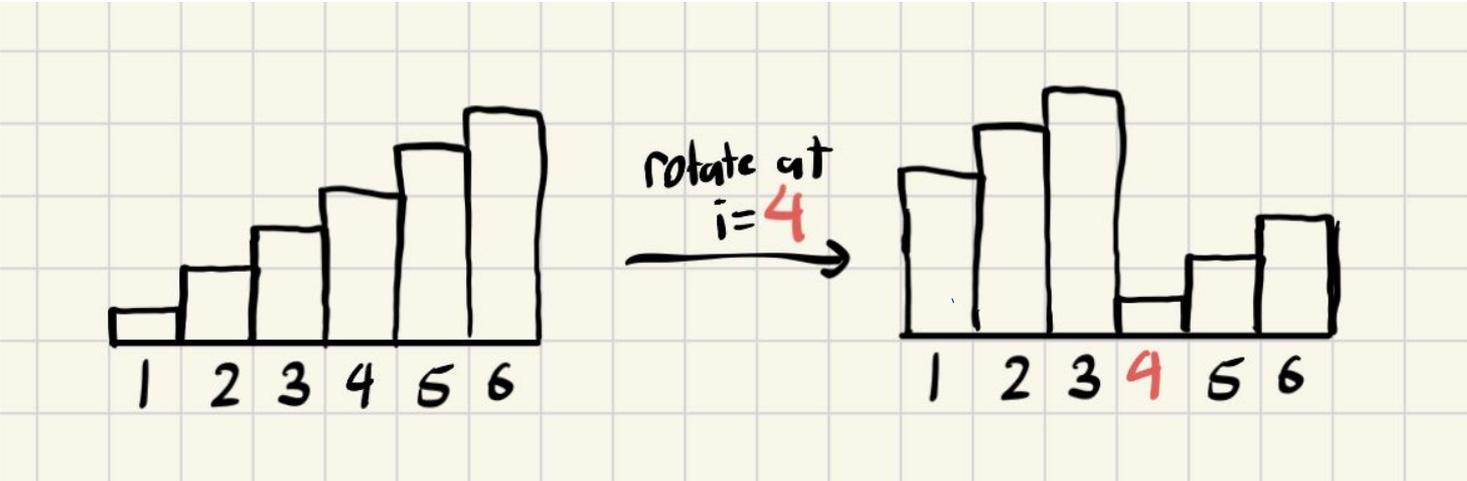
Exercise for you :)

1. Draw out the tree
2. Find the cost at the  $i$ th level and the number of levels
3. Derive the sum and closed form

1. Let  $A[1..n]$  be in a *rotated* sorted order. That is, there exists an index  $i \in [n]$ , called the *rotation index*, such that the concatenation of  $A[i..n]$  and  $A[1..i - 1]$  is sorted in increasing order. (One can think of  $A[1..n]$  as being sorted initially, and then rotated cyclically to the right by  $i - 1$  slots). The goal is to compute the unknown rotation index  $i$ . For simplicity, you may assume all the elements are distinct.



Goal: find index i given rotated array

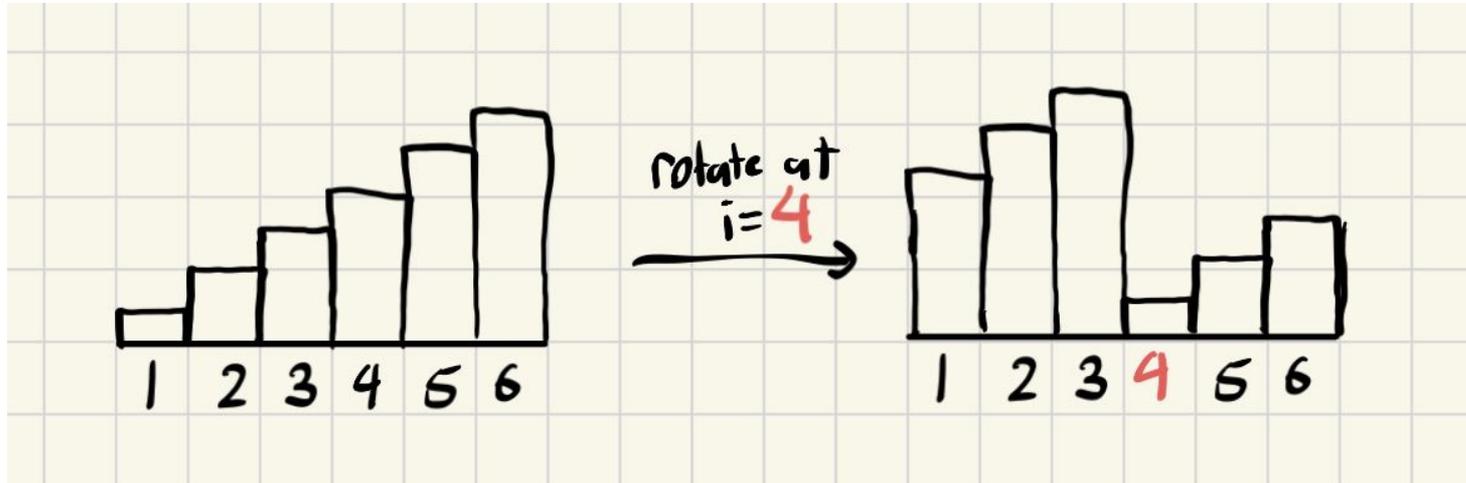


Any ideas (maybe from lecture)? binary search

$O(\log n)$

$O(n)$  easy

Goal: find index  $i$  given rotated array



Any ideas (maybe from lecture)? **Binary search type question**

More generally, a recursive algorithm!

# Steps to writing a recursive algorithm

1. Write the recursive spec
  - a. What is the input?
  - b. What is the output?
2. Use the recursive algorithm on smaller parts
3. Combine them appropriately

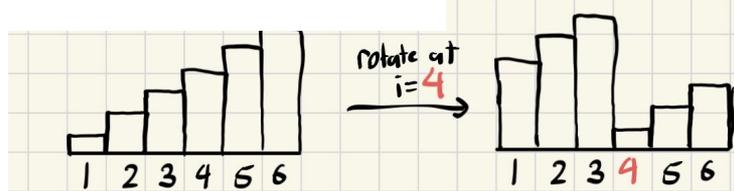
Example 1 : spec of merge sort

merge-sort( $A[1..n]$ ): Given an array  $A[1..n]$  of comparable elements,  
returns an array consisting of the elements of  $A$  sorted in increasing order.

Input

2D output.

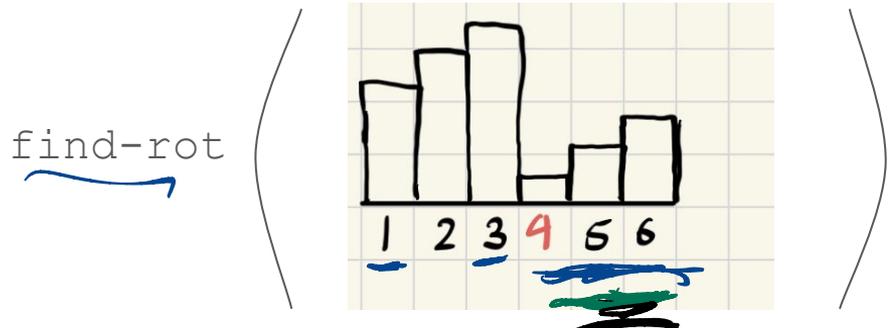
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find-rot ( $A[1, \dots, n]$ ): Given rotated-sorted array  $A$ ,  
(pre condition)  
return rotation index  $i$ ,  
(Post condition)

find-rot(A[1...n]): Given rotated-sorted (pre condition) array A,  
 return rotation index i (post condition).

1. Write the recursive spec
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We want to binary search for index 4

(m) Middle index =  $6 / 2 = 3$

How do we choose between recursing into left half or right half?  
 I.e., which half has the rotated index?

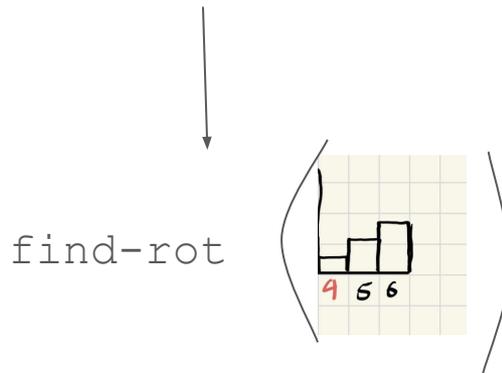
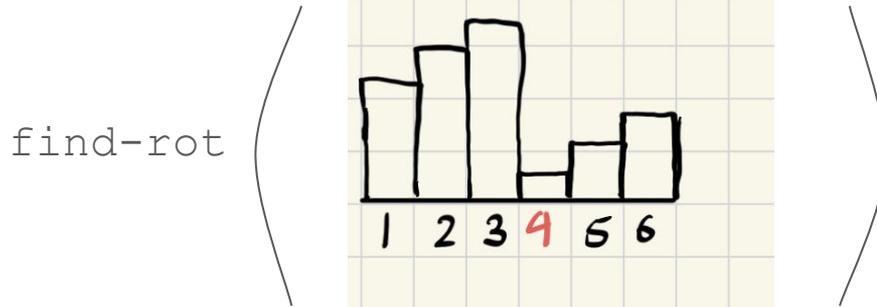
(if not in right half return find-rot(A, ..., m))

if  $A[1] < A[m]$ :  
 // rotation index is in the right half.  
 find\_rot(A[m+1...n])



`find-rot(A[0, ..., n])`: Given rotated-sorted (pre condition) array  $A$ ,  
return rotation index  $i$  (post condition).

1. Write the recursive spec
2. **Use the recursive algorithm on smaller parts**
3. Combine them appropriately



1. subset-sum( $x_1, \dots, x_n$ ,  $T$ ): Given  $n$  integers  $x_1, \dots, x_n \in \mathbb{Z}$ , and an additional integer  $T$ , returns true if there is a subset of indices  $S \subseteq [n]$  such that  $\sum_{i \in S} x_i = T$ , and false otherwise.

$[1, 2, 3, 4], 5$

all subsets:  $2^X$

I can split  $2^X$  in half as:

1) subsets with  $x_1$  (recursively listing all subsets)  
subsets without  $x_1$

$f(X = \{x_1, \dots, x_n\})$ :

if  $X = \emptyset$ : return  $\{\emptyset\}$

$S = f(\{x_2, \dots, x_n\})$

return  $\{x_1 \cup s, s\}; s \in S$ .

1. `subset-sum( $x_1, \dots, x_n, T$ )`: Given  $n$  integers  $x_1, \dots, x_n \in \mathbb{Z}$ , and an additional integer  $T$ , returns true if there is a subset of indices  $S \subseteq [n]$  such that  $\sum_{i \in S} x_i = T$ , and false otherwise.

def `SS( $x_1, \dots, x_n, T$ )`:

if  $T=0$ :  $|| \emptyset$   
return true.

if  $n=0$ :  
return false.

return

`SS( $x_1, \dots, x_n, T - x_i$ )` or `SS( $x_1, \dots, x_n, T$ )`  
including  $x_i$                       excluding  $x_i$