

PSO 2

Recurrences and Trees

Announcements

TA Office hours has started, see ed

HW 1 due Thursday 11:59PM

From last week..

$$n^{\log n} \in \Omega(n!)$$

This was false. One way to see this:

$$n! = n \times (n - 1) \times \dots \times (n/2 + 1) \times (n/2) \times \dots \times 2 \times 1$$

From last week..

$$\tilde{n^{\log n}} \in \Omega(n!)$$

This was false. One way to see this: **group terms**

$$n! = n \times (n-1) \times \dots \times (n/2 + 1) \times (n/2) \times \dots \times 2 \times 1$$

$$n! = (n \times 1) \times \dots$$

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$$n! = n \times (n-1) \times \dots \times (n/2 + 1) \times (n/2) \times \dots \times 2 \times 1$$

$$n! = (n \times 1) \times ((n-1) \times 2)$$


From last week..

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$$\begin{aligned} n! &= n \times (n-1) \times \dots \times (n/2 + 1) \times (n/2) \times \dots \times 2 \times 1 \\ n! &= (n \times 1) \times ((n-1) \times 2) \times \dots \times ((n/2 + 1) \times (n/2)) \end{aligned}$$

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The diagram illustrates the pairing of terms in the factorial product $n!$. The top row shows the sequence of factors: $n, (n-1), \dots, (n/2 + 1), (n/2), \dots, 2, 1$. The bottom row shows the same factors grouped into pairs: $(n \times 1), ((n-1) \times 2), \dots, ((n/2 + 1) \times (n/2))$. Lines connect each term in the top row to its corresponding term in the bottom row: n connects to $(n \times 1)$, $(n-1)$ to $((n-1) \times 2)$, and so on, up to $(n/2 + 1)$ connecting to $((n/2 + 1) \times (n/2))$. The term $(n/2)$ in the top row is crossed out with a diagonal line, as it is the middle term when n is odd.

$$n! = n \times (n-1) \times \dots \times (n/2 + 1) \times (n/2) \times \dots \times 2 \times 1$$
$$n! = (n \times 1) \times ((n-1) \times 2) \times \dots \times ((n/2 + 1) \times (n/2))$$

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Observe: all terms are $\geq n$ (there are $n/2$ terms)

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$$n! = (n \times 1) \times ((n-1) \times 2) \times \dots \times ((n/2 + 1) \times (n/2))$$

$$n! \geq n^{n/2}$$

Observe: all terms are $\geq n$ (there are $n/2$ terms)

Question 1

(**Recursion Tree**) Find a recurrence relationship which describes the running time of the following algorithms. For simplicity we will measure running times by the number of addition operations (+).

```
1: function REC1( $n : \mathbb{Z}^+$ )
2:   if  $n \leq 0$  then
3:     return  $n + n$ 
4:   end if
5:    $val \leftarrow 0$ 
6:    $val \leftarrow val + \text{REC1}(n - 1)$ 
7:    $val \leftarrow val + \text{REC1}(n - 3)$ 
8:   return  $val$ 
9: end function
```

```
1: function REC2( $n : \mathbb{Z}^+$ )
2:   if  $n \leq 0$  then
3:     return 0
4:   end if
5:    $val \leftarrow 0$ 
6:   for  $i$  from 1 to  $n - 1$  do
7:      $val \leftarrow val + \text{REC2}(i)$ 
8:   end for
9:   return  $val$ 
10: end function
```

```
1: function REC3( $n : \mathbb{Z}^+$ )
2:   if  $n \leq 0$  then
3:     return  $n + n$ 
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(Recursion Tree) Find a recurrence relationship which describes the running time of the following algorithms. For simplicity we will measure running times by the number of addition operations (+).

II

Find $T(n)$ = “number of times + is called when we run REC1(n)”

Recursive functions have a **base case** and a **recursive case**

Base case: $T(__) = ______$

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1: function REC1( $n : \mathbb{Z}^+$ )
2:   if  $n \leq 0$  then
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Base case: $T(0) = 2$

(Recursion Tree) Find a recurrence relationship which describes the running time of the following algorithms. For simplicity we will measure running times by the number of addition operations (+).

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Find $T(n)$ = “number of times + is called when we run REC1(n)”

Recursive case: first calculate non-recursive work..

How many (non-recursive) +'s?

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(Recursion Tree) Find a recurrence relationship which describes the running time of the following algorithms. For simplicity we will measure running times by the number of addition operations (+).

II

Find $T(n)$ = “number of times + is called when we run $\text{REC1}(n)$ ”

Recursive case: first calculate non-recursive work..

How many (non-recursive) +'s? **2**

then count recursive calls

Recursive calls?

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1: function REC1( $n : \mathbb{Z}^+$ )
2:   if  $n \leq 0$  then
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5:    $val \leftarrow 0$ 
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8:   return  $val$ 
9: end function
```

How many (non-recursive) +’s? **2**

Recursive calls? **Rec($n - 1$), Rec($n - 3$)**

$$\longrightarrow T(n) = 2 + T(n - 1) + T(n - 3)$$

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```

(Recursion Tree) Find a recurrence relationship which describes the running time of the following algorithms. For simplicity we will measure running times by the number of addition operations (+).

Final answer:

$$T(0) = 2$$

$$T(n) = 2 + T(n - 1) + T(n - 3)$$

(important: include both base case and recursive case!)

```
1: function REC2( $n : \mathbb{Z}^+$ )
2:   if  $n \leq 0$  then
3:     return 0
4:   end if
5:    $val \leftarrow 0$ 
6:   for  $i$  from 1 to  $n - 1$  do
7:      $val \leftarrow val + \text{REC2}(i)$ 
8:   end for
9:   return  $val$ 
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Base case: $T(_) = \underline{\hspace{2cm}}$

Recursive case:

How many (non-recursive) +'s?

Recursive calls?

```
1: function REC3( $n : \mathbb{Z}^+$ )
2:   if  $n \leq 0$  then
3:     return  $n + n$ 
4:   end if
5:    $val \leftarrow 0$ 
6:    $val \leftarrow val + \text{REC3}(\lfloor \frac{n}{2} \rfloor)$ 
7:    $val \leftarrow val + \text{REC3}(\lfloor \frac{n}{3} \rfloor)$ 
8:   for  $i$  from 1 to  $n - 1$  do
9:      $val \leftarrow val + 1$ 
10:  end for
11:  return  $val$ 
12: end function
```

Base case: $T(_) = \underline{\hspace{2cm}}$

Recursive case:

How many (non-recursive) +’s?

Recursive calls?

(Recursion Tree) Give a big- O closed form for each of the following recurrences. (Assume that $T(x) = 1$ for any $x \leq 1$.)

(1) $T(n) = 2T(n/4) + \sqrt{n}$

(2) $T(n) = T(n/2) + T(n/3) + T(n/6) + n$

Unroll/use iterations?

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Warning: Solving this $T(n)$ using iterations is a bad idea!

... kind of, we will see that trees help us organize better!

1. Draw out the tree
2. Find the cost at the i th level and the number of levels
3. Derive the sum and closed form

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\sqrt{n}



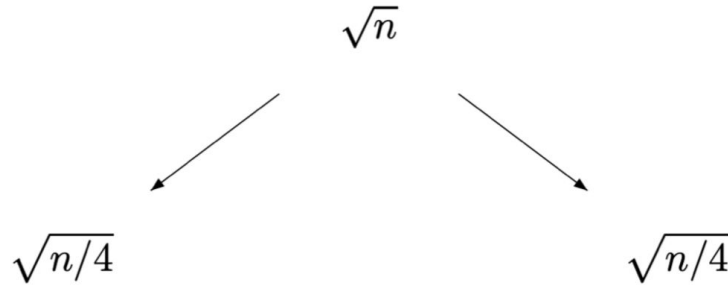
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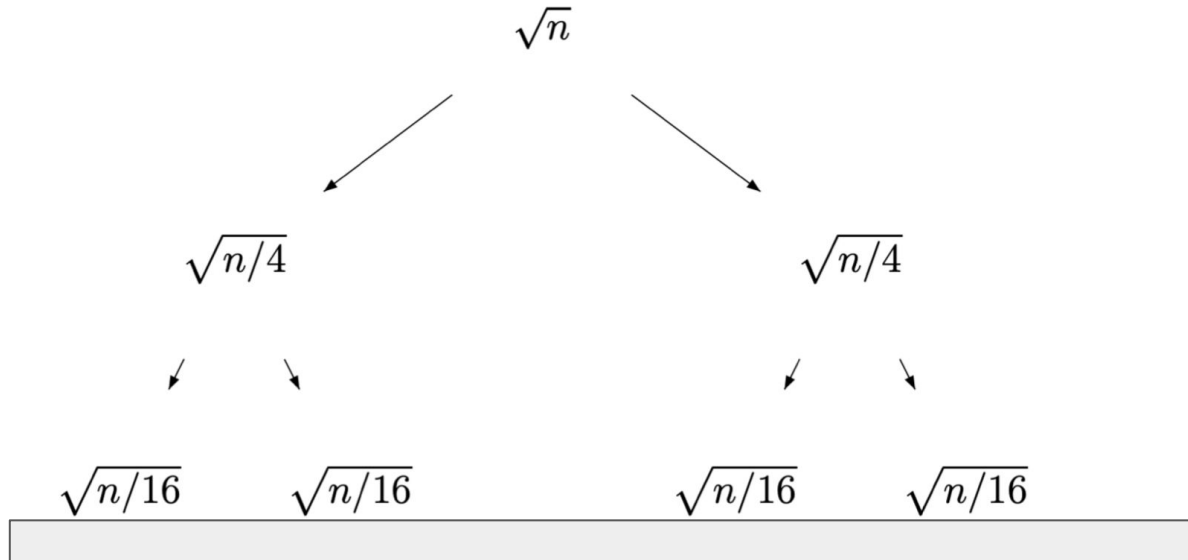
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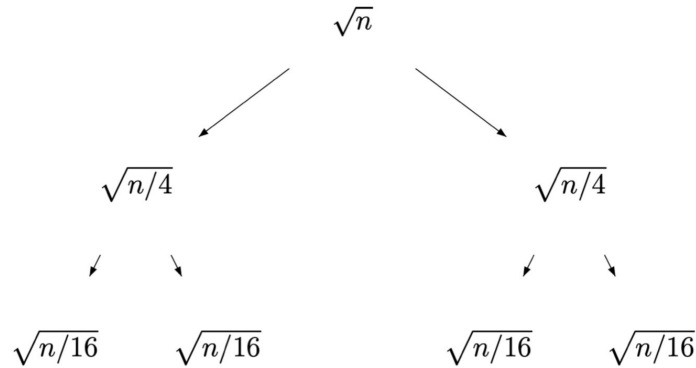
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Cost at first level:

Cost at second level:

Cost at i th level:

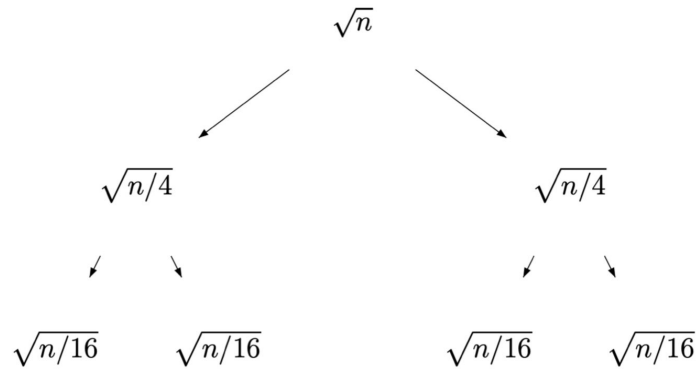
levels:

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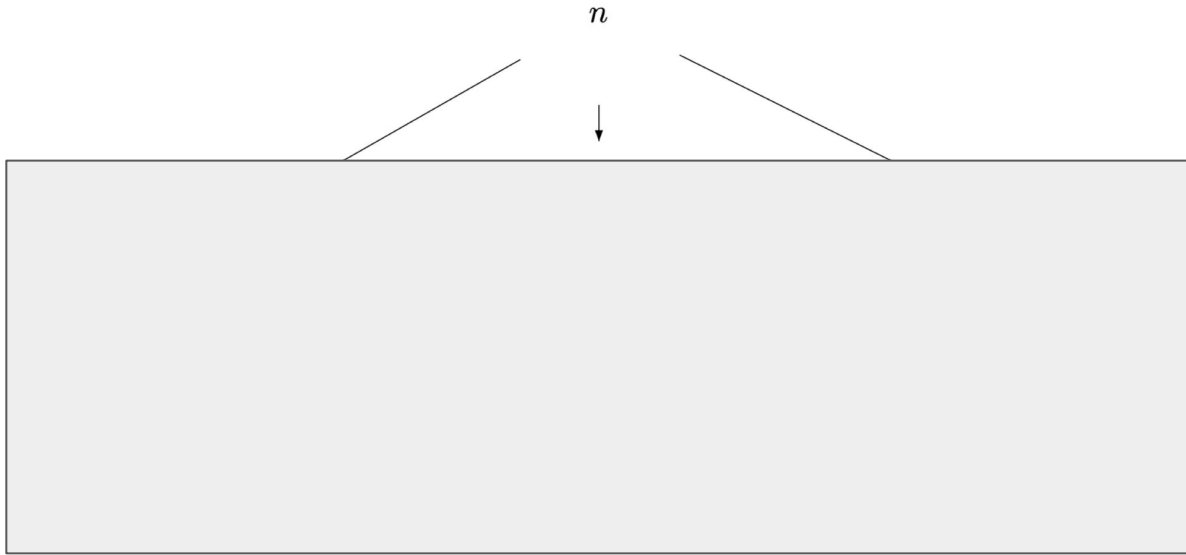


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Cost at i th level: \sqrt{n}

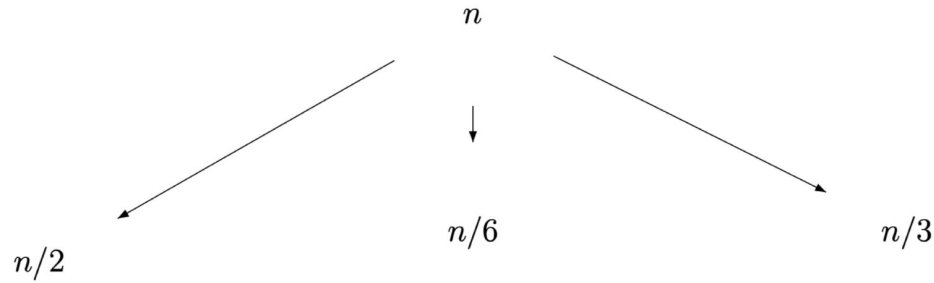
Number of levels: $\log_4 n$

$$(2) \ T(n) = T(n/2) + T(n/3) + T(n/6) + n$$



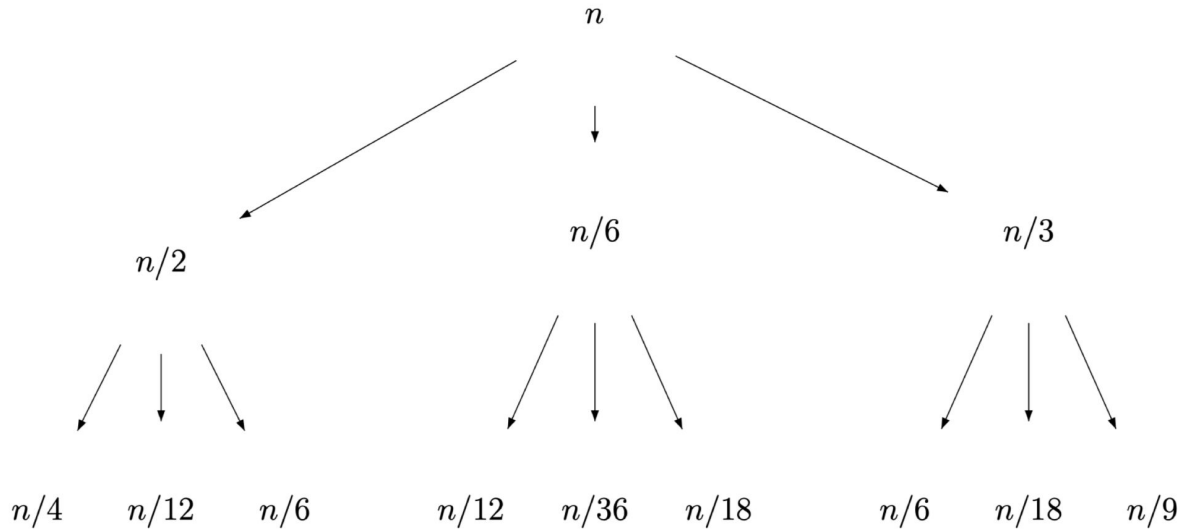
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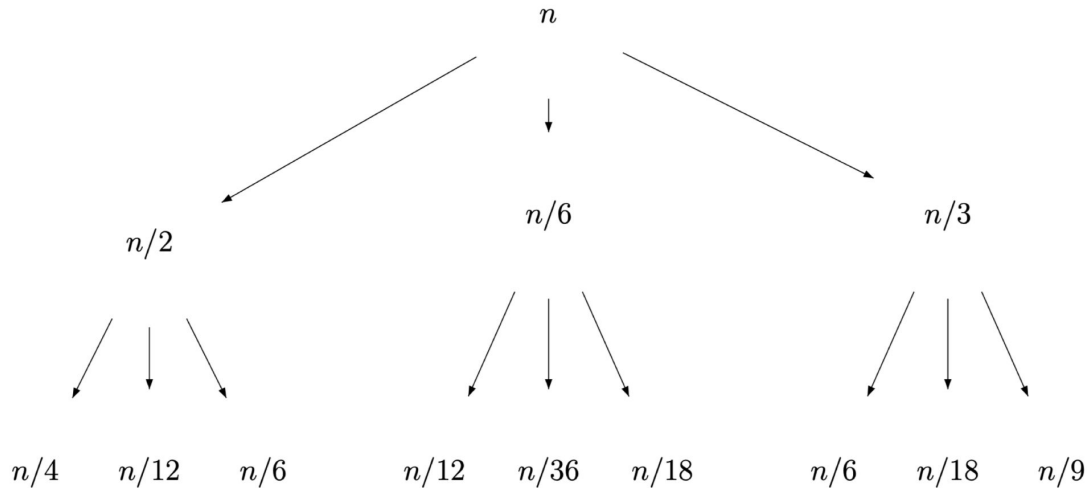
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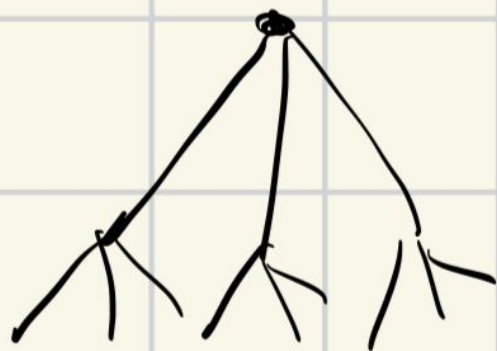
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Cost at first level:

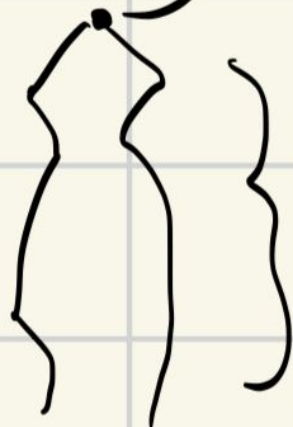
Cost at second level:

Cost at i th level:

levels:

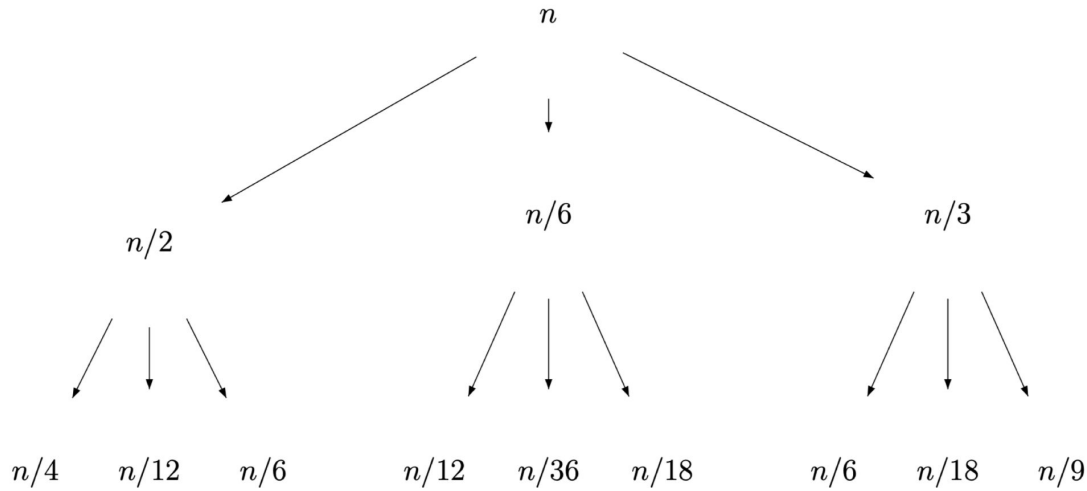


} $\log_2 n$



} $\lg n - \lg_2 n \leq \lg n$

$$(2) T(n) = T(n/2) + T(n/3) + T(n/6) + n$$



1. Draw out the tree
2. Find the cost at the i th level and the number of levels
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Cost at i th level: n

Number of levels: $O(\lg n)$

Question 2

(Change a Variable) Give a big- O closed form for the following recurrence.

$$T(n) = 2T(\sqrt{n}) + \log n$$

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What is the problem with a tree?

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$$T(n) = 2T(\sqrt{n}) + \log n$$

We usually like recurrences of this form

$$S(n) = \alpha S(n/\beta) + f(n),$$

E.g question 1 recurrences

Solution: Variable change! But to what value?

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Change variable: $m =$

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$$S(n) = \alpha S(n/\beta) + f(n),$$

Change variable: $m = \log n$

$$T(2^m) = 2T(2^{m/2}) + m.$$

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Change equation: $S(m) =$

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Change equation: $S(m) = T(2^m)$

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This is just merge sort! $O(m \log m) = O(\log n * (\log \log n))$

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$$T(n) = 2T(\sqrt{n}) + \log n$$

Intuition for $O(\log n * (\log \log n))$ bound

First, how can we interpret $T(n) = 2T(n / 2) + n$?

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Read n in binary: $n_{\log n} \dots n_2 n_1$

What is $n / 2$?

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What is $n / 2$?

Right shift: $n / 2 = n_{\log n} \dots n_2 n_1$

I can only right shift $\log n$ times == $\log n$ tree height

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I can only right
shift $\log n$ times $== \log n$ tree height

Now, how does \sqrt{n} look?

Read n in binary: $n_m \dots n_2 n_1$

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Now, how does \sqrt{n} look?

Read n in binary: $n_m \dots n_2 n_1$

I can only right == $\log m$ tree height
shift $\log m$ times == $\log \log n$ tree height

Right shift $\sim(m/2)$ times : $\sqrt{n} = n_m \dots n_{m/2+1} n_{m/2} n_2 n_1$

Question 2

(Change a Variable) Give a big- O closed form for the following recurrence.

$$T(n) = 2T(\sqrt{n}) + \log n$$

Right shift $\sim(m/2)$ times : $\text{sqrt}(n) = n_m \dots n_{m/2+1} n_{m/2} n_2 n_1$

I can only right
shift $\log m$ times == $\log \log n$ tree height

On each level, $\log n$ work, so $T(n) = \log(n) \times \log \log(n)$